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# Regular XXZ Bethe states at roots of unity as highest weight vectors of the $sl_2$ loop algebra

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## Abstract

We show that every regular Bethe ansatz eigenvector of the XXZ spin chain at roots of unity is a highest weight vector of the  $sl_2$  loop algebra, for some restricted sectors with respect to eigenvalues of the total spin operator  $S^Z$ , and evaluate explicitly the highest weight in terms of the Bethe roots. We also discuss whether a given regular Bethe state in the sectors generates an irreducible representation or not. In fact, we present such a regular Bethe state in the inhomogeneous case that generates a reducible Weyl module. Here, we call a solution of the Bethe ansatz equations which is given by a set of distinct and finite rapidities *regular Bethe roots*. We call a nonzero Bethe ansatz eigenvector with regular Bethe roots a *regular Bethe state*.

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## 1. Introduction

The XXZ spin chain is one of the most important exactly solvable quantum systems. The Hamiltonian under the periodic boundary conditions is given by

$$\mathcal{H}_{\text{XXZ}} = \frac{1}{2} \sum_{j=1}^L (\sigma_j^X \sigma_{j+1}^X + \sigma_j^Y \sigma_{j+1}^Y + \Delta \sigma_j^Z \sigma_{j+1}^Z). \quad (1.1)$$

Here we define parameter  $q$  from the XXZ coupling  $\Delta$  by  $\Delta = (q + q^{-1})/2$ . When  $q$  is a root of unity, the XXZ Hamiltonian commutes with the generators of the  $sl_2$  loop algebra [14]. Let  $q_0$  be a root of unity satisfying  $q_0^{2N} = 1$  for an integer  $N$ . We introduce operators  $S^{\pm(N)}$  as follows:

$$S^{\pm(N)} = \sum_{1 \leq j_1 < \dots < j_N \leq L} q_0^{\frac{N}{2}\sigma^Z} \otimes \dots \otimes q_0^{\frac{N}{2}\sigma^Z} \otimes \sigma_{j_1}^{\pm} \otimes q_0^{\frac{(N-2)}{2}\sigma^Z} \otimes \dots \otimes q_0^{\frac{(N-2)}{2}\sigma^Z} \\ \otimes \sigma_{j_2}^{\pm} \otimes q_0^{\frac{(N-4)}{2}\sigma^Z} \otimes \dots \otimes \sigma_{j_N}^{\pm} \otimes q_0^{-\frac{N}{2}\sigma^Z} \otimes \dots \otimes q_0^{-\frac{N}{2}\sigma^Z}. \quad (1.2)$$

They are derived from the  $N$ th power of the generators  $S^\pm$  of the quantum group  $U_q(sl_2)$ . We define  $T^{(\pm)}$  by the complex conjugates of  $S^{\pm(N)}$ , i.e.  $T^{\pm(N)} = (S^{\pm(N)})^*$ . The operators,  $S^{\pm(N)}$  and  $T^{\pm(N)}$ , generate the  $sl_2$  loop algebra,  $U(L(sl_2))$ . Let us consider sectors with respect to eigenvalues of the total spin operator  $S^Z$ . We call the sector  $S^Z \equiv 0 \pmod{N}$  sector  $A$ . Here the value of  $S^Z$  is given by an integral multiple of  $N$ . It was shown that the following commutation relations hold in sector  $A$  [14]:

$$[S^{\pm(N)}, \mathcal{H}_{\text{XXZ}}] = [T^{\pm(N)}, \mathcal{H}_{\text{XXZ}}] = 0. \quad (1.3)$$

Let us assume that rapidities,  $t_1, t_2, \dots, t_R$ , satisfy the Bethe ansatz equations at a given value of  $q$  as follows:

$$\left( \frac{\sinh(t_j + \eta)}{\sinh(t_j - \eta)} \right)^L = \prod_{k=1; k \neq j}^M \frac{\sinh(t_j - t_k + 2\eta)}{\sinh(t_j - t_k - 2\eta)}, \quad \text{for } j = 1, 2, \dots, R. \quad (1.4)$$

Here we have defined parameter  $\eta$  by  $q = \exp(2\eta)$ . We call such rapidities  $t_j$  *Bethe roots* at  $q$ . If Bethe roots are finite and distinct, we call them *regular*. Let  $B(t)$  denote the  $B$  operator of the algebraic Bethe ansatz with rapidity  $t$ , and  $|0\rangle$  the vacuum state (see e.g. [24]). It is known that the Bethe state,  $B(t_1) \cdots B(t_R)|0\rangle$ , gives an eigenvector of the XXZ Hamiltonian, if  $t_j$  are Bethe roots, i.e. they satisfy equations (1.4) [31]. We also call it the XXZ Bethe state. We call a nonzero Bethe state with regular Bethe roots *regular*. For a root of unity,  $q_0$ , we define  $\eta_0$  by  $q_0 = \exp(2\eta_0)$ . We now formulate the highest weight conjecture [14, 16–18] as follows: every regular Bethe state at  $q_0$  should be a highest weight vector of the  $sl_2$  loop algebra.

Let us now define highest weight vectors of the  $sl_2$  loop algebra. The generators of  $U(L(sl_2))$ ,  $x_k^\pm$  and  $h_k$  ( $k \in \mathbf{Z}$ ), satisfy the defining relations:

$$[h_j, x_k^\pm] = \pm 2x_{j+k}^\pm, \quad [x_j^+, x_k^-] = h_{j+k}, \quad \text{for } j, k \in \mathbf{Z}. \quad (1.5)$$

Here  $[h_j, h_k] = 0$  and  $[x_j^\pm, x_k^\pm] = 0$  for  $j, k \in \mathbf{Z}$ . In a representation of  $U(L(sl_2))$ , a vector  $\Omega$  is called a *highest weight vector* if  $\Omega$  is annihilated by generators  $x_k^+$  for all integers  $k$  and such that  $\Omega$  is a simultaneous eigenvector of every generator  $h_k$  ( $k \in \mathbf{Z}$ ) [4–7, 15]:

$$x_k^+ \Omega = 0, \quad \text{for } k \in \mathbf{Z}, \quad (1.6)$$

$$h_k \Omega = d_k \Omega, \quad \text{for } k \in \mathbf{Z}. \quad (1.7)$$

Here, the set of eigenvalues  $d_k$  is called the *highest weight*. We call a representation *highest weight* if it is generated by a highest weight vector. We denote it by  $U\Omega$ , where  $\Omega$  is the highest weight vector and  $U$  denotes  $U(L(sl_2))$ . We assume in the paper that  $U\Omega$  is finite dimensional. We can show that weight  $d_0$  is given by a non-negative integer, which we denote by  $r$ , and also that  $\Omega$  is a simultaneous eigenvector of operators  $(x_0^+)^k (x_1^-)^k / (k!)^2$ , i.e.  $(x_0^+)^k (x_1^-)^k / (k!)^2 \Omega = \lambda_k \Omega$  for  $k = 1, 2, \dots, r$ . In terms of the sequence of eigenvalues  $\lambda_k$ :  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_r)$ , we define highest weight polynomial  $\mathcal{P}^\lambda(u)$  [13] by

$$\mathcal{P}^\lambda(u) = \sum_{k=0}^r \lambda_k (-u)^k. \quad (1.8)$$

If  $U\Omega$  is irreducible, the highest weight polynomial  $\mathcal{P}^\lambda(u)$  corresponds to the Drinfeld polynomial. It was shown that every irreducible representation is highest weight and characterized by the Drinfeld polynomial [6]. However,  $U\Omega$  may be reducible. We shall show that it is indeed the case in some physical application. Here we note that a reducible representation has no Drinfeld polynomial but the highest weight polynomial.

Recently, for the XXZ spin chain at roots of unity, Fabricius and McCoy have made important observations on the highest weight conjecture [16–18]. Through the algebraic Bethe ansatz it was suggested [18] that any given XXZ Bethe state in sector A should be highest weight. Let  $\tilde{t}_1, \tilde{t}_2, \dots, \tilde{t}_R$  be a set of regular Bethe roots at  $q_0$ . We introduce a function  $Y(v)$  as

$$Y(v) = \sum_{\ell=0}^{N-1} \frac{(\sinh(v - (2\ell + 1)\eta_0))^L}{\prod_{j=1}^R \sinh(v - \tilde{t}_j - 2\ell\eta_0) \sinh(v - \tilde{t}_j - 2(\ell + 1)\eta_0)}. \tag{1.9}$$

It follows from the Bethe ansatz equations (1.4) at  $q_0$  that  $Y(v)$  is a Laurent polynomial of variable  $u = \exp(-2Nv)$  [18]. We call it the Fabricius–McCoy polynomial of the XXZ Bethe state, and denote it by  $P^{\text{FM}}(u)$ . Furthermore, it was conjectured [18] that it should be equivalent to a ‘Drinfeld polynomial’  $P(u)$  through the following relation:

$$P^{\text{FM}}(u) = Au^{-r/2}P(u). \tag{1.10}$$

Here  $A$  gives the normalization. However, it has not been shown whether a given XXZ Bethe state is highest weight or whether it generates an irreducible representation.

In the paper, we prove the highest weight conjecture for regular Bethe states in sectors A and B. Here sector B denotes such a sector  $S^Z \equiv N/2 \pmod{N}$  for odd  $N$ . It is the first result of the paper. Furthermore, we discuss how far conjecture (1.10) is valid. In fact, we shall show that the Fabricius–McCoy polynomial corresponds to the highest weight polynomial, and also that there is such a regular Bethe state in sector A that generates a reducible representation. This gives the second result.

The first result is summarized as follows. Let  $|R\rangle$  be a regular Bethe state at  $q_0$  with  $R$  down-spins in sectors A or B. We have  $|R\rangle = B(\tilde{t}_1)B(\tilde{t}_2) \cdots B(\tilde{t}_R)|0\rangle$  with regular Bethe roots  $\tilde{t}_j$  of  $|R\rangle$ . By the algebraic Bethe ansatz, we shall derive the following:

$$S^{+(N)}|R\rangle = T^{+(N)}|R\rangle = 0, \tag{1.11}$$

$$(S^{+(N)})^k (T^{-(N)})^k / (k!)^2 |R\rangle = Z_k^+ |R\rangle, \quad \text{for } k \in \mathbf{Z}_{>0}. \tag{1.12}$$

Constants  $Z_k^+$  will be expressed in terms of  $\tilde{t}_j$ , explicitly. Here we assume that a given set of regular Bethe roots at  $q_0$  makes an isolated solution of the Bethe ansatz equations (1.4). We shall show that relations (1.11) and (1.12) are sufficient to have conditions equivalent to (1.6) and (1.7). It will thus follow that  $|R\rangle$  is highest weight.

We remark that relations (1.11) generalize the  $SU(2)$  symmetry of the XXX spin chain. As was shown by Takhtajan and Faddeev [32], regular XXX Bethe states are highest weight vectors of the spin  $SU(2)$  symmetry. We shall discuss it in subsection 5.3.

Interestingly, novel spectral degeneracy similar to that of the XXZ spin chain at  $q_0$  appears in the transfer matrix of the eight-vertex model at roots of unity [9, 10, 19–21], which are related to some restricted IRF models [9, 10]. Some of the degenerate eigenvectors were first discussed by Baxter [1, 2]. The elliptic degeneracy is discussed systematically by using  $Q$  matrices [19, 20]. There are some relevant researches on the  $sl_2$  loop algebra symmetry of the XXZ spin chain at  $q_0$  [3, 26, 27, 34]. The higher rank loop algebra symmetry has been discussed for various trigonometric-vertex models [28]. The  $sl_2$  loop algebra and its subalgebra symmetries have been derived from the XXZ chain under twisted boundary conditions at  $q_0$  [11, 25].

The paper consists of the following. In section 2, we make a summary of the main results. In subsection 2.1, we specify roots of unity conditions in definition 1, and we formulate a main statement on the highest weight conjecture in theorem 3. We shall discuss its proof in section 5. In subsection 2.2, for a given regular Bethe state at  $q_0$  in sector A or B,

we express the highest weight polynomial  $\mathcal{P}^\lambda(u)$  in terms of the regular Bethe roots. We present in subsection 2.3 an irreducibility criterion of a highest weight representation. We discuss that regular Bethe states should generate Weyl modules, which may be reducible. In section 3, we introduce the algebraic Bethe ansatz and define the inhomogeneous transfer matrix of the six-vertex model. In section 4, we review the  $sl_2$  loop algebra symmetry of the XXZ spin chain through the algebraic Bethe ansatz. In section 5, we give an outline of the proof of theorem 3. We show it for the inhomogeneous transfer matrix of the six-vertex model at roots of unity. In fact, the derivation is also valid for the homogeneous case, i.e. for the XXZ spin chain. Theorem 3 is derived from propositions 8 and 10 through lemma 6 by assuming conjecture 2. Here, propositions 8 and 10 are derived from lemmas 7 and 9, respectively. In section 6, we prove lemmas 7 and 9 explicitly. In section 7, we show that for a regular Bethe state at  $q_0$  in sector A or B, the Fabricius–McCoy polynomial  $P^{\text{FM}}(u)$  (1.9) is equivalent to the highest weight polynomial. We show some examples of highest weight polynomials, and give such a regular Bethe state that generates a reducible Weyl module. In section 8, we give a concluding remark.

## 2. Summary of the main results

### 2.1. Main theorem on the highest weight conjecture

We call  $q$  generic if it is not a root of unity. We define a root of unity as follows.

**Definition 1** (roots of unity conditions). *We say that  $q_0$  is a root of unity with  $q_0^{2N} = 1$ , if one of the following three conditions holds: (1)  $q_0$  is a primitive  $N$ th root of unity with  $N$  odd ( $q_0^N = 1$ ); (2)  $q_0$  is a primitive  $2N$ th root of unity with  $N$  odd ( $q_0^N = -1$ ); (3)  $q_0$  is a primitive  $2N$ th root of unity with  $N$  even ( $q_0^N = -1$ ). We call conditions (1) and (3) type I, and condition (2) type II.*

In the case of sector A where  $S^Z \equiv 0 \pmod{N}$ , we assume that  $q_0$  is a root of unity with  $q_0^{2N} = 1$ , in the paper. In the case of sector B where  $S^Z \equiv N/2 \pmod{N}$  with  $N$  odd, we assume that  $q_0$  is a primitive  $N$ th root of unity with  $N$  odd ( $q_0^N = 1$ ).

Here we note that the same conditions of roots of unity have been discussed in [2, 19, 20]. Let us express  $q_0$  as  $q_0 = \exp(\sqrt{-1}\pi m/N)$ . In terms of  $m$  and  $N$ , roots of unity conditions (1), (2) and (3) are expressed as follows: (1)  $N$  is odd and  $m$  even; (2)  $N$  is odd and  $m$  odd; (3)  $N$  is even ( $m$  odd by definition).

We now formulate a theorem on the highest weight conjecture in the case of the inhomogeneous transfer matrix of the six-vertex model. We shall define it in section 3. Let  $t_1, t_2, \dots, t_R$  be a set of regular Bethe roots at a given value of  $q$  in the inhomogeneous case. It is known that the Bethe state,  $B(t_1) \cdots B(t_R)|0\rangle$ , gives an eigenvector of the inhomogeneous transfer matrix at  $q$ , if  $t_j$  satisfy the Bethe ansatz equations (3.13). Here, equations (3.13) generalize equations (1.4) in the inhomogeneous case.

**Conjecture 2.** *Let  $q_0$  be a root of unity with  $q_0^{2N} = 1$ . Every set of regular Bethe roots at  $q = q_0$  gives an isolated solution of the Bethe ansatz equations (3.13).*

Assuming conjecture 2 we shall show in section 5 the following.

**Theorem 3.** *Every regular Bethe state at  $q = q_0$  in sectors A and B is the highest weight.*

2.2. Highest weight polynomials of regular Bethe states

Let us denote the inhomogeneous parameters by  $\xi_\ell$  for  $\ell = 1, 2, \dots, L$ , where  $L$  is the lattice size. We define functions  $\phi_\xi^\pm(x)$  by

$$\phi_\xi^\pm(x) = \prod_{\ell=1}^L (1 - x e^{\pm 2\xi_\ell}). \tag{2.1}$$

Recall that  $t_1, t_2, \dots, t_R$  are regular Bethe roots at a given value of  $q$  in the inhomogeneous case. We define  $F^\pm(x)$  by

$$F^\pm(x) = \prod_{j=1}^R (1 - x \exp(\pm 2t_j)). \tag{2.2}$$

Let  $|R\rangle$  be a regular Bethe state at  $q_0$  in sector A or B in the inhomogeneous case and  $\tilde{t}_1, \tilde{t}_2, \dots, \tilde{t}_R$  the regular Bethe roots. At  $q = q_0$ , we express  $F^\pm(x)$  as  $\tilde{F}^\pm(x) = \prod_{j=1}^R (1 - x \exp(\pm 2\tilde{t}_j))$ . We consider the following series with respect to  $x$ :

$$\frac{\phi_\xi^\pm(x)}{\tilde{F}^\pm(xq_0)\tilde{F}^\pm(xq_0^{-1})} = \sum_{m=0}^\infty \tilde{\chi}_{\xi,m}^\pm x^m \quad \text{for } |x| < \min\{|e^{\pm 2\tilde{t}_j}|\}. \tag{2.3}$$

Here, we define coefficients  $\tilde{\chi}_{\xi,m}^\pm$  by power series (2.3). Explicitly, we have

$$\begin{aligned} \tilde{\chi}_{\xi,m}^\pm &= \sum_{\rho=0}^{\min(L,m)} (-1)^\rho \sum_{1 \leq j_1 < \dots < j_\rho \leq L} \exp\left(\pm \sum_{k=1}^\rho 2\xi_{j_k}\right) \\ &\quad \times \sum_{n_1 + \dots + n_R = m - \rho} e^{\pm \sum_{j=1}^R 2n_j \tilde{t}_j} \prod_{j=1}^R [n_j + 1]_{q_0}, \end{aligned} \tag{2.4}$$

where symbol  $\sum_{n_1 + \dots + n_R = m - \rho}$  denotes the sum over all non-negative integers  $n_1, n_2, \dots, n_R$  satisfying  $n_1 + \dots + n_R = m - \rho$ . When  $R = 0$ , we set  $\rho = m$ .

**Proposition 4.** Let  $q_0$  be a root of unity with  $q_0^{2N} = 1$ . For a regular Bethe state  $|R\rangle$  at  $q_0$  in sector A or B in the inhomogeneous case such as given in theorem 3, weight  $d_0$  is given by  $r = (L - 2R)/N$ , and eigenvalues  $\lambda_k$  of (1.8) are given by

$$\lambda_k = \begin{cases} (-1)^{kN} \tilde{\chi}_{\xi,kN}^+, & \text{if } q_0 \text{ is of type I,} \\ (-1)^{k(N-1)} \tilde{\chi}_{\xi,kN}^+, & \text{if } q_0 \text{ is of type II.} \end{cases} \tag{2.5}$$

We thus obtain the highest weight polynomial  $\mathcal{P}^\lambda(u)$  of  $|R\rangle$ . For type I, we have

$$\mathcal{P}^\lambda(u) = \begin{cases} \sum_{k=0}^r \tilde{\chi}_{\xi,kN}^+ u^k & \text{for odd } N (q_0^N = 1) \\ \sum_{k=0}^r \tilde{\chi}_{\xi,kN}^+ (-u)^k & \text{for even } N (q_0^N = -1), \end{cases} \tag{2.6}$$

and for type II

$$\mathcal{P}^\lambda(u) = \sum_{k=0}^r \tilde{\chi}_{\xi,kN}^+ (-u)^k \quad (N : \text{odd}; q_0^N = -1). \tag{2.7}$$

### 2.3. An irreducibility criterion of highest weight representations

In order to obtain the degenerate multiplicity of a regular Bethe state at  $q_0$ , it is fundamental to derive the dimension of a given highest weight representations [12, 13]. In fact, every finite-dimensional representation of  $U(L(sl_2))$  should be given by a collection of finite-dimensional highest weight representations.

Recall that we assume in the paper that  $U\Omega$  is finite dimensional. Let  $\mathcal{P}^\lambda(u)$  be the highest weight polynomial. We introduce parameters  $a_k$  by

$$\mathcal{P}^\lambda(u) = \prod_{k=1}^s (1 - a_k u)^{m_k}. \quad (2.8)$$

Here  $a_1, a_2, \dots, a_s$  are distinct, and their multiplicities are given by  $m_1, m_2, \dots, m_s$ , respectively, where  $r = m_1 + \dots + m_s$ . We now introduce *highest weight parameters*  $\hat{a}_i$  for  $i = 1, 2, \dots, r$ , as follows:

$$\hat{a}_i = a_k \quad \text{if } m_1 + m_2 + \dots + m_{k-1} < i \leq m_1 + \dots + m_{k-1} + m_k. \quad (2.9)$$

It can be shown that  $\hat{a}_j$  are nonzero [13]. We thus have three equivalent expressions for the highest weight  $d_k$  of  $\Omega$ : sequence  $\lambda$  of eigenvalues  $\lambda_k$ , polynomial  $\mathcal{P}^\lambda(u)$ , and parameters  $\hat{a}_j$  (i.e. parameters  $a_j$  with multiplicities  $m_j$ ).

It was shown by Chari and Pressley [8] that corresponding to each irreducible finite-dimensional representation with highest weight  $\mathcal{P}^\lambda(u)$  there exists a unique finite-dimensional highest weight module  $W$  such that any finite-dimensional highest weight module  $V$  with highest weight  $\mathcal{P}^\lambda(u)$  is a quotient of  $W$ . The modules  $W$  are called Weyl modules [8]. Furthermore, it was shown that a Weyl module is irreducible if and only if the polynomial  $\mathcal{P}^\lambda(u)$  has distinct roots [8]. Thus, if the highest weight parameters  $\hat{a}_j$  of  $V$  are distinct,  $V$  is irreducible, and the polynomial  $\mathcal{P}^\lambda(u)$  becomes the Drinfeld polynomial of  $V$ . We have  $\dim V = 2^s$ .

However, highest weight parameters  $\hat{a}_j$  are not always distinct. It is shown that the highest weight representation generated by  $\Omega$ , i.e.  $U\Omega$ , is irreducible if and only if the following holds [13]:

$$\sum_{j=0}^s (-1)^{s-j} \mu_{s-j} x_j^- \Omega = 0, \quad (2.10)$$

where  $\mu_k$  ( $k = 1, 2, \dots, s$ ) are given by

$$\mu_k = \sum_{1 \leq i_1 < \dots < i_k \leq s} a_{i_1} \cdots a_{i_k}. \quad (2.11)$$

We note that every irreducible representation has no invariant subspace under the action of  $U(L(sl_2))$  except for trivial cases. If  $U\Omega$  is irreducible, the dimension is given by [4]

$$\dim U\Omega = \prod_{j=1}^s (m_j + 1). \quad (2.12)$$

Through criterion (2.10) we shall show such a regular Bethe state in the inhomogeneous case that generates a reducible representation (see section 7.2.3).

We shall show that for a given regular Bethe state in sector A or B, the Fabricius–McCoy polynomial (1.9) corresponds to the highest weight polynomial evaluated in (2.6) and (2.7) (see corollary 25). It thus follows that conjecture (1.10) is valid in sectors A and B if the highest weight parameters  $\hat{a}_j$  are distinct. However, if  $U\Omega$  is reducible, conjecture (1.10) is not valid, since it has no Drinfeld polynomial.

We have a conjecture that regular Bethe states at  $q_0$  in sectors A and B should generate Weyl modules. A majority of regular Bethe states should have distinct highest weight parameters, while most of those with degenerate ones should generate such reducible representations that are equivalent to Weyl modules.

### 3. The transfer matrix of the six-vertex model

#### 3.1. R matrix and L operator of the algebraic Bethe ansatz

In order to fix the notation, let us introduce the algebraic Bethe ansatz [24, 31, 32]. We define the R matrix of the XXZ spin chain by

$$R(z-w) = \begin{pmatrix} f(w-z) & 0 & 0 & 0 \\ 0 & g(w-z) & 1 & 0 \\ 0 & 1 & g(w-z) & 0 \\ 0 & 0 & 0 & f(w-z) \end{pmatrix}, \tag{3.1}$$

where  $f(z-w)$  and  $g(z-w)$  are given by

$$f(z-w) = \frac{\sinh(z-w-2\eta)}{\sinh(z-w)}, \quad g(z-w) = \frac{\sinh(-2\eta)}{\sinh(z-w)}. \tag{3.2}$$

We recall that parameter  $2\eta$  is related to  $q$  by  $q = \exp(2\eta)$ . We now introduce  $L$  operators for the XXZ spin chain. Let  $V_n$  be two-dimensional vector spaces for  $n = 0, 1, \dots, L$ . We define an operator-valued matrix  $L_n(z)$  by

$$L_n(z) = \begin{pmatrix} \sinh(zI_n + \eta\sigma_n^z) & \sinh 2\eta\sigma_n^- \\ \sinh 2\eta\sigma_n^+ & \sinh(zI_n - \eta\sigma_n^z) \end{pmatrix}. \tag{3.3}$$

Here  $L_n(z)$  is a matrix acting on the auxiliary vector space  $V_0$ , where  $I_n$  and  $\sigma_n^a$  ( $a = z, \pm$ ) are operators acting on the  $n$ th vector space  $V_n$ . The symbol  $I$  denotes the two-by-two identity matrix, and  $\sigma^\pm$  denote  $\sigma^+ = E_{12}$  and  $\sigma^- = E_{21}$ , where they satisfy relations  $E_{ij}E_{kl} = \delta_{j,k}E_{il}$  for  $i, j, k, \ell = 1, 2$ . Here  $\delta_{j,k}$  denotes the Kronecker delta. The symbols,  $\sigma^x, \sigma^y, \sigma^z$  denote the Pauli matrices.

Let us introduce the monodromy matrix with inhomogeneous parameters  $\xi_n$ :

$$T(z; \{\xi_n\}) = L_L(z - \xi_L) \cdots L_2(z - \xi_2)L_1(z - \xi_1). \tag{3.4}$$

We call  $T(z; \{\xi_n\})$  the *inhomogeneous monodromy matrix*. In terms of the R matrix and the monodromy matrices, the Yang–Baxter equation is expressed as

$$R(z-w)(T(z; \{\xi_n\}) \otimes T(w; \{\xi_n\})) = (T(w; \{\xi_n\}) \otimes T(z; \{\xi_n\}))R(z-w). \tag{3.5}$$

We express the matrix elements of the inhomogeneous monodromy matrix  $T(z; \{\xi_n\})$  as

$$T(z; \{\xi_n\}) = \begin{pmatrix} A(z; \{\xi_n\}) & B(z; \{\xi_n\}) \\ C(z; \{\xi_n\}) & D(z; \{\xi_n\}) \end{pmatrix}. \tag{3.6}$$

From the Yang–Baxter equation for  $T(z; \{\xi_n\})$ , we have the commutation relations such as  $B(w_1; \{\xi_n\})B(w_2; \{\xi_n\}) = B(w_2; \{\xi_n\})B(w_1; \{\xi_n\})$  and

$$A(w_1; \{\xi_n\})B(w_2; \{\xi_n\}) = f(w_1 - w_2)B(w_2; \{\xi_n\})A(w_1; \{\xi_n\}) - g(w_1 - w_2)B(w_1; \{\xi_n\})A(w_2; \{\xi_n\}). \tag{3.7}$$

Here parameters  $w_j$  are arbitrary. Hereafter, we suppress the inhomogeneous parameters,  $\xi_n$  for operators  $A, B, C$  and  $D$ . We denote  $B(w_j; \{\xi_n\})$  simply by  $B(w_j)$ .



Through the commutation relations such as (3.7) we have for  $n \in \mathbf{Z}_{\geq 0}$  the following:

$$\begin{aligned} A(w_0)B(w_1)\cdots B(w_n) &= \left( \prod_{j=1}^n f(w_0 - w_j) \right) B(w_1)\cdots B(w_n)A(w_0) \\ &\quad - \sum_{j=1}^n g(w_0 - w_j) \prod_{\substack{k=1 \\ k \neq j}}^n f(w_j - w_k) B(w_1)\cdots B(w_{j-1})B(w_0) \\ &\quad \times B(w_{j+1})\cdots B(w_n)A(w_j). \end{aligned} \quad (3.8)$$

### 3.2. The inhomogeneous transfer matrix of the six-vertex model and the Bethe states

We define the *inhomogeneous transfer matrix* of the six-vertex model,  $\tau_{6V}(z; \{\xi_n\})$ , by

$$\tau_{6V}(z; \{\xi_n\}) = \text{tr } T(z; \{\xi_n\}) = A(z) + D(z). \quad (3.9)$$

When all the inhomogeneous parameters,  $\xi_n$ , are set to be zero, we call  $\tau_{6V}(z; \{\xi_n = 0\})$  the homogeneous transfer matrix of the six-vertex model and denote it simply as  $\tau_{6V}(z)$ . It is invariant under lattice translation. The XXZ Hamiltonian is given by the logarithmic derivative of the transfer matrix,  $\tau_{6V}(z)$ , at  $z = \eta$ :

$$\sinh 2\eta \times \frac{d}{dz} \log \tau_{6V}(z)|_{z=\eta} = H_{\text{XXZ}} + \frac{L}{2} \cosh 2\eta. \quad (3.10)$$

Here we note that the XXZ coupling  $\Delta$  is given by  $\cosh 2\eta$ .

We denote by  $|0\rangle$  the vector with all spins up. We have

$$A(z)|0\rangle = a_{\xi}^{6V}(z)|0\rangle, \quad D(z)|0\rangle = d_{\xi}^{6V}(z)|0\rangle. \quad (3.11)$$

Here  $a_{\xi}^{6V}(z)$  and  $d_{\xi}^{6V}(z)$  are given by

$$a_{\xi}^{6V}(z) = \prod_{n=1}^L \sinh(z - \xi_n + \eta), \quad d_{\xi}^{6V}(z) = \prod_{n=1}^L \sinh(z - \xi_n - \eta). \quad (3.12)$$

It is shown [31] that the vector  $B(t_1)B(t_2)\cdots B(t_R)|0\rangle$  is an eigenvector of the inhomogeneous transfer matrix  $\tau_{6V}(z; \{\xi_n\})$  if rapidities  $t_1, t_2, \dots, t_R$  satisfy the Bethe ansatz equations

$$\frac{a_{\xi}^{6V}(t_j)}{d_{\xi}^{6V}(t_j)} = \prod_{k=1, k \neq j}^R \frac{f(t_k - t_j)}{f(t_j - t_k)}, \quad \text{for } j = 1, 2, \dots, R. \quad (3.13)$$

In the same way as the homogeneous case for (1.4), we call the eigenvector  $B(t_1)B(t_2)\cdots B(t_R)|0\rangle$  the *Bethe ansatz eigenvector* or the *Bethe state*, briefly. Here we call  $t_1, t_2, \dots, t_R$ , the *Bethe roots*, and call them regular if they are finite and distinct. Furthermore, we call the Bethe state *regular*, if it is nonzero and the Bethe roots are regular.

## 4. The $sl_2$ loop algebra symmetry at roots of unity

### 4.1. Generators of the quantum groups

The quantum affine algebra  $U_q(\hat{sl}_2)$  is an associative algebra over  $\mathbf{C}$  generated by  $e_i^{\pm}, K_i^{\pm}$  for  $i = 0, 1$  with the following relations:

$$\begin{aligned} K_i K_i^{-1} &= K_i K_i^{-1} = 1, & K_i e_i^{\pm} K_i^{-1} &= q^{\pm 2} e_i^{\pm}, & K_i e_j^{\pm} K_i^{-1} &= q^{\mp 2} e_j^{\pm} \quad (i \neq j), \\ [e_i^+, e_j^-] &= \delta_{i,j} \frac{K_i - K_i^{-1}}{q - q^{-1}}, \end{aligned} \quad (4.1)$$

$$(e_i^{\pm})^3 e_j^{\pm} - [3]_q (e_i^{\pm})^2 e_j^{\pm} e_i^{\pm} + [3]_q e_i^{\pm} e_j^{\pm} (e_i^{\pm})^2 - e_j^{\pm} (e_i^{\pm})^3 = 0 \quad (i \neq j).$$

Here  $q$  is generic and  $[n]_q$  denotes the  $q$ -integer of an integer  $n$ :  $[n]_q = (q^n - q^{-n})/(q - q^{-1})$ . The algebra  $U_q(\hat{sl}_2)$  is also a Hopf algebra over  $\mathbf{C}$  with co-multiplication

$$\begin{aligned} \Delta(e_i^+) &= e_i^+ \otimes K_i + 1 \otimes e_i^+, & \Delta(e_i^-) &= e_i^- \otimes 1 + K_i^{-1} \otimes e_i^-, \\ \Delta(K_i) &= K_i \otimes K_i, \end{aligned} \tag{4.2}$$

and antipode  $S(K_i) = K_i^{-1}$ ,  $S(e_i) = -e_i^+ K_i^{-1}$ ,  $S(e_i^-) = -K_i e_i^-$ .

The quantum algebra  $U_q(sl_2)$  is an associative Hopf algebra over  $\mathbf{C}$  generated by elements  $e^\pm$  and  $K$  with the same defining relations of the Hopf algebra as those for  $e_1^\pm$  and  $K_1$  of  $U_q(\hat{sl}_2)$ .

We now introduce evaluation representations for  $U_q(\hat{sl}_2)$  [22]. For a given nonzero complex number  $a$  there is a homomorphism of algebras  $\varphi_a: U_q(\hat{sl}_2) \rightarrow U_q(sl_2)$  such that  $\varphi_a(e_0^\pm) = q^{\mp 1} a^{\pm 1} e^\mp$ ,  $\varphi_a(e_1^\pm) = e^\pm$ ,  $\varphi_a(K_0) = K^{-1}$  and  $\varphi_a(K_1) = K$ . Let us denote by  $(\pi, V)$  a representation of an algebra  $\mathcal{A}$  such that  $\pi(x)$  give linear maps on vector space  $V$  for  $x \in \mathcal{A}$ . For a given finite-dimensional representation  $(\pi_V, V)$  of  $U_q(sl_2)$ , we have a finite-dimensional representation  $(\pi_{V(a)}, V(a))$  of  $U_q(\hat{sl}_2)$  through homomorphism  $\varphi_a$ , i.e.  $\pi_{V(a)}(x) = \pi_V(\varphi_a(x))$  for  $x \in U_q(\hat{sl}_2)$ . We call  $(\pi_{V(a)}, V(a))$  or  $V(a)$  the *evaluation representation* of  $V$  and nonzero parameter  $a$  the *evaluation parameter* of  $V(a)$ .

We consider such finite-dimensional representations of  $U_q(\hat{sl}_2)$ , where  $K_i$  are equivalent to  $q^{H_i}$  with diagonal matrices  $H_i$  for  $i = 0, 1$ . In the representations, we denote by  $K_i^{1/2}$  the square root of  $K_i$ . We introduce the following operators for  $i = 0, 1$ :

$$\hat{e}_i^+ = q^{n_i} K_i^{-1/2} e_i^+, \quad \hat{e}_i^- = q^{-n_i} e_i^- K_i^{1/2}. \tag{4.3}$$

Here  $n_i$  ( $i = 0, 1$ ) are arbitrary. The operators  $\hat{e}_i^\pm$  satisfy the same defining relations (4.1) with  $e_i^\pm$  and the following co-multiplication [23]:

$$\Delta(\hat{e}_i^\pm) = \hat{e} \otimes K_i^{1/2} + K_i^{-1/2} \otimes \hat{e}_i^\pm. \tag{4.4}$$

Taking the co-multiplication  $L - 1$  times we have

$$\Delta^{(L-1)}(\hat{e}_i^\pm) = \sum_{j=1}^L (K_i^{-1/2})^{\otimes(j-1)} \otimes \hat{e}_i^\pm \otimes (K_i^{1/2})^{\otimes(L-j)}. \tag{4.5}$$

Let us denote by  $(\pi_1, V_1)$  such a two-dimensional irreducible representation of  $U_q(sl_2)$  where generators  $e^\pm$  and  $K$  are represented by the Pauli matrices  $\sigma^\pm$  and  $q^{\sigma^Z}$ , respectively, i.e. we have  $\pi_1(e^\pm) = \sigma^\pm$  and  $\pi_1(K) = q^{\sigma^Z}$ . For the evaluation representation  $V_1(a)$ , we take the  $L$ th tensor product,  $V_1(a)^{\otimes L}$ . Setting  $a = q$ , we denote by  $S^\pm$  and  $T^\pm$  the matrix representations of generators  $\hat{e}_0^\mp$  and  $\hat{e}_1^\pm$  acting on  $V_1(q)^{\otimes L}$ , respectively. Here we note  $S^\pm = \pi_{V_1(q)} \otimes \dots \otimes \pi_{V_1(q)}(\Delta^{(L-1)}(\hat{e}_0^\mp))$ . Explicitly, we have

$$\begin{aligned} S^\pm &= \sum_{j=1}^L q^{\sigma^Z/2} \otimes \dots \otimes q^{\sigma^Z/2} \otimes \sigma_j^\pm \otimes q^{-\sigma^Z/2} \otimes \dots \otimes q^{-\sigma^Z/2}, \\ T^\pm &= \sum_{j=1}^L q^{-\sigma^Z/2} \otimes \dots \otimes q^{-\sigma^Z/2} \otimes \sigma_j^\pm \otimes q^{\sigma^Z/2} \otimes \dots \otimes q^{\sigma^Z/2}. \end{aligned} \tag{4.6}$$

Here we have set  $n_0 = n_1 = 1/2$ . The symbol  $\sigma_j^\pm$  denotes the Pauli matrices  $\sigma^\pm$  acting on the  $j$ th component of the tensor product. We denote by  $q^{S^Z}$  the matrix representation of  $K_1^{1/2}$  acting on the tensor product  $V_1(q)^{\otimes L}$ . We have

$$q^{S^Z} = (q^{\sigma^Z/2})^{\otimes L} = q^{\sigma^Z/2} \otimes \dots \otimes q^{\sigma^Z/2}. \tag{4.7}$$

We recall that  $S^Z$  denotes the Z-component of the total spin operator,  $S^Z = \sum_{j=1}^L \sigma_j^Z/2$ .

We define the  $q$ -factorial of  $n$  by  $[n]_q! = [n]_q [n-1]_q \cdots [1]_q$ . Here,  $q$  is generic and not a root of unity. We introduce the following notation for the  $n$ th power of an operator  $X$  divided by the  $q$ -factorial of  $n$ :

$$(X)_q^{(n)} = (X)^n / [n]_q!. \tag{4.8}$$

It is easy to show the following [14]:

$$(T^\pm)_q^{(n)} = \sum_{1 \leq j_1 < \cdots < j_n \leq L} q^{-\frac{n}{2}\sigma^Z} \otimes \cdots \otimes q^{-\frac{n}{2}\sigma^Z} \otimes \sigma_{j_1}^\pm \otimes q^{-\frac{(n-2)}{2}\sigma^Z} \otimes \cdots \otimes q^{-\frac{(n-2)}{2}\sigma^Z} \otimes \sigma_{j_2}^\pm \otimes q^{-\frac{(n-4)}{2}\sigma^Z} \otimes \cdots \otimes \sigma_{j_N}^\pm \otimes q^{\frac{n}{2}\sigma^Z} \otimes \cdots \otimes q^{\frac{n}{2}\sigma^Z}. \tag{4.9}$$

We derive operators  $S^{\pm(N)}$  and  $T^{\pm(N)}$  defined by (1.2) of section 1 through the following limit:

$$S^{\pm(N)} = \lim_{q \rightarrow q_0} (S^\pm)_q^{(N)}, \quad T^{\pm(N)} = \lim_{q \rightarrow q_0} (T^\pm)_q^{(N)}. \tag{4.10}$$

Here we recall that  $q_0$  denotes a root of unity satisfying  $q_0^{2N} = 1$ .

#### 4.2. Quantum group generators through infinite rapidities

Let us define function  $n_\xi(z)$  by

$$n_\xi(z) = \prod_{j=1}^L \sinh(z - \xi_j), \tag{4.11}$$

and function  $\hat{g}(z)$  by

$$\hat{g}(z) = \begin{cases} 2 \exp(-z) \sinh 2\eta & (\text{Re } z > 0), \\ -2 \exp(z) \sinh 2\eta & (\text{Re } z < 0). \end{cases} \tag{4.12}$$

We normalize operators  $A(z), \dots, D(z)$  as follows:

$$\begin{aligned} \hat{A}(z) &= A(z)/n_\xi(z), & \hat{B}(z) &= B(z)/(\hat{g}(z)n_\xi(z)), \\ \hat{D}(z) &= D(z)/n_\xi(z), & \hat{C}(z) &= C(z)/(\hat{g}(z)n_\xi(z)). \end{aligned} \tag{4.13}$$

Taking the limit of infinite rapidities for the inhomogeneous case, we have

$$\begin{aligned} \hat{A}(\pm\infty) &= q^{\pm S^Z}, & \hat{B}(\infty) &= V^- T^- V^+, & \hat{B}(-\infty) &= V^+ S^- V^-, \\ \hat{D}(\pm\infty) &= q^{\mp S^Z}, & \hat{C}(\infty) &= V^+ S^+ V^-, & \hat{C}(-\infty) &= V^- T^+ V^+, \end{aligned} \tag{4.14}$$

where  $V^\pm$  are given by the following diagonal matrices [11]:

$$(V^\pm)_{k_1, \dots, k_L}^{j_1, \dots, j_L} = \exp\left(\pm \sum_{i=1}^L \xi_i j_i\right) \delta_{j_1, k_1} \cdots \delta_{j_L, k_L}, \tag{4.15}$$

where  $j_\ell, k_\ell = 1, 2$  for  $\ell = 1, 2, \dots, L$ . Let us define operators  $S_\xi^\pm$  and  $T_\xi^\pm$  by

$$S_\xi^\pm = V^+ S^\pm V^-, \quad T_\xi^\pm = V^- T^\pm V^+. \tag{4.16}$$

We can show that  $S_\xi^\pm, T_\xi^\pm$  and  $q^{S^Z}$  satisfy the defining relations of  $U_q(L(sl_2))$  through the evaluation homomorphism [22]. Recall generators  $\hat{e}_i^\pm$  for  $i = 0, 1$  defined by (4.3) with  $n_0 = n_1 = 1/2$ . In the tensor product  $\otimes_{j=1}^L V_1(q_j) = V_1(q e^{2\xi_1}) \otimes V_1(q e^{2\xi_2}) \otimes \cdots \otimes V_1(q e^{2\xi_L})$ , generators  $\hat{e}_i^\pm$  for  $i = 0, 1$  are related to  $S_\xi^\pm$  and  $T_\xi^\pm$  as follows:

$$S_\xi^\pm = V^- \otimes_{j=1}^L \pi_{V_1(q_j)} \Delta^{(L-1)}(\hat{e}_0^\mp) V^+, \quad T_\xi^\pm = V^- \otimes_{j=1}^L \pi_{V_1(q_j)} \Delta^{(L-1)}(\hat{e}_1^\pm) V^+.$$

Here  $q_j = q \exp 2\xi_j$  for  $j = 1, 2, \dots, L$ . Thus,  $S_\xi^\pm$  and  $T_\xi^\pm$  satisfy the same defining relations of  $U_q(L(sl_2))$  as generators  $\hat{e}_0^\mp$  and  $\hat{e}_1^\pm$ , respectively.

4.3. Complete  $N$ -strings

Let  $N$  be a positive integer.

**Definition 5** (complete  $N$ -string). *We call a set of rapidities  $z_j$  a complete  $N$ -string, if they have the following relation:*

$$z_j = \Lambda + \eta(N + 1 - 2j) \quad (j = 1, 2, \dots, N). \tag{4.17}$$

We call the parameter  $\Lambda$  the centre of the  $N$ -string.

Setting  $w_j = z_j$  with  $z_j$  being the complete  $N$ -string, we have the following:

$$\prod_{k=1, k \neq j}^N f(z_j - z_k) = \begin{cases} 0 & (j \neq N) \\ [N]_q & (j = N), \end{cases} \quad \prod_{k=1, k \neq j}^N f(z_k - z_j) = \begin{cases} [N]_q & (j = 1) \\ 0 & (j \neq 1). \end{cases} \tag{4.18}$$

Applying (4.18) into (3.8) with  $n = N$ , and sending the centre  $\Lambda$  of the complete  $N$ -string (4.17) to infinity, we have

$$A(w_0)(T_\xi^-)^N = q^N (T_\xi^-)^N A(w_0) - [N]_q B(w_0)(T_\xi^-)^{N-1} q^{S^Z} e^{w_0}. \tag{4.19}$$

Similarly, we have

$$D(w_0)(T_\xi^-)^N = q^{-N} (T_\xi^-)^N D(w_0) - [N]_q B(w_0)(T_\xi^-)^{N-1} q^{-S^Z} (-e^{w_0}). \tag{4.20}$$

We thus have

$$\begin{aligned} (A(w_0) + D(w_0))(T_\xi^-)_q^{(N)} &= (T_\xi^-)_q^{(N)} (q^N A(w_0) + q^{-N} D(w_0)) \\ &\quad - e^{w_0} B(w_0)(T_\xi^-)_q^{(N-1)} (q^{S^Z} - q^{-S^Z}). \end{aligned} \tag{4.21}$$

Taking the limit  $\Lambda \rightarrow -\infty$ , we have the commutation relation for  $S_\xi^-$ . Similarly, we derive commutation relations for  $(S_\xi^+)^N$  and  $(T_\xi^+)^N$ . In summary we have the following:

$$\begin{aligned} (A(w_0) + D(w_0))(S_\xi^\pm)_q^{(N)} &= (S_\xi^\pm)_q^{(N)} (q^{-N} A(w_0) + q^N D(w_0)) \\ &\quad + e^{\pm w_0} X(w_0)(S_\xi^\pm)_q^{(N-1)} (q^{S^Z} - q^{-S^Z}), \end{aligned} \tag{4.22}$$

$$\begin{aligned} (A(w_0) + D(w_0))(T_\xi^\pm)_q^{(N)} &= (T_\xi^\pm)_q^{(N)} (q^N A(w_0) + q^{-N} D(w_0)) \\ &\quad - e^{\mp w_0} X(w_0)(T_\xi^\pm)_q^{(N-1)} (q^{S^Z} - q^{-S^Z}), \end{aligned} \tag{4.23}$$

where  $X(w_0) = C(w_0)$  for  $S_\xi^+$  and  $T_\xi^+$ , while  $X(w_0) = B(w_0)$  for  $S_\xi^-$  and  $T_\xi^-$ .

4.4.  $S_\xi^{\pm(N)}$  and  $T_\xi^{\pm(N)}$  as generators of the  $sl_2$  loop algebra

Let us recall that in sector A where  $S^Z \equiv 0 \pmod N$   $q_0$  is a root of unity with  $q_0^{2N} = 1$ , (cf definition 1), while in sector B where  $S^Z \equiv N/2 \pmod N$  with  $N$  odd,  $q_0$  is a primitive  $N$ th root of unity.

It follows from (4.22) and (4.23) that  $S_\xi^{\pm(N)}$  and  $T_\xi^{\pm(N)}$  (anti-)commute with the transfer matrix of the six-vertex model  $\tau_{6V}(z; \{\xi_n\})$  in the cases of sector A and B:

$$\begin{aligned} S_\xi^{\pm(N)} \tau_{6V}(z; \{\xi_n\}) &= q_0^N \tau_{6V}(z; \{\xi_n\}) S_\xi^{\pm(N)}, \\ T_\xi^{\pm(N)} \tau_{6V}(z; \{\xi_n\}) &= q_0^N \tau_{6V}(z; \{\xi_n\}) T_\xi^{\pm(N)}. \end{aligned} \tag{4.24}$$

It is readily derived from the (anti-)commutation relations (4.24) that the operators  $S^{\pm(N)}$  and  $T^{\pm(N)}$  commute with the XXZ Hamiltonian in the cases of sectors A and B. Here we recall that the XXZ Hamiltonian  $H_{\text{XXZ}}$  is given by the logarithmic derivative of the homogeneous transfer matrix  $\tau_{6V}(z)$ .

We now show that  $S_{\xi}^{\pm(N)}$  and  $T_{\xi}^{\pm(N)}$  generate the  $sl_2$  loop algebra [14]. When  $q_0$  is of type I, we set

$$\begin{aligned} E_0^+ &= T_{\xi}^{-(N)}, & E_0^- &= T_{\xi}^{+(N)}, & E_1^+ &= S_{\xi}^{+(N)}, & E_1^- &= S_{\xi}^{-(N)}, \\ -H_0 &= H_1 & &= \frac{2}{N} S^Z. \end{aligned} \quad (4.25)$$

When  $q_0$  is of type II, we set

$$\begin{aligned} E_0^+ &= \sqrt{-1} T_{\xi}^{-(N)}, & E_0^- &= \sqrt{-1} T_{\xi}^{+(N)}, & E_1^+ &= \sqrt{-1} S_{\xi}^{+(N)}, \\ E_1^- &= \sqrt{-1} S_{\xi}^{-(N)}, & -H_0 &= H_1 & &= \frac{2}{N} S^Z. \end{aligned} \quad (4.26)$$

Here  $\sqrt{-1}$  denotes the square root of  $-1$ . (See also (A.13) of [9].) Then, operators  $E_j^{\pm}$ ,  $H_j$  for  $j = 0, 1$ , satisfy the defining relations of the  $sl_2$  loop algebra  $U(L(sl_2))$  [14]:

$$H_0 + H_1 = 0, \quad [H_i, E_j^{\pm}] = \pm a_{ij} E_j^{\pm}, \quad (i, j = 0, 1) \quad (4.27)$$

$$[E_i^+, E_j^-] = \delta_{ij} H_j, \quad (i, j = 0, 1) \quad (4.28)$$

$$[E_i^{\pm}, [E_i^{\pm}, [E_i^{\pm}, E_j^{\pm}]]] = 0, \quad (i, j = 0, 1, i \neq j). \quad (4.29)$$

Here, the Cartan matrix  $(a_{ij})$  of  $A_1^{(1)}$  is defined by

$$\begin{pmatrix} a_{00} & a_{01} \\ a_{10} & a_{11} \end{pmatrix} = \begin{pmatrix} 2 & -2 \\ -2 & 2 \end{pmatrix}. \quad (4.30)$$

We obtain relations (4.27), (4.28) and (4.29) from the fact that  $S_{\xi}^{\pm}$  and  $T_{\xi}^{\pm}$  are generators of the quantum group  $U_q(\hat{sl}_2)$ . The Serre relations (4.29) hold if  $q_0$  is a primitive  $2N$ th root of unity, or a primitive  $N$ th root of unity with  $N$  odd [14]. We derive it through the higher order quantum Serre relations due to Lusztig [30]. The Cartan relations (4.27) hold for generic  $q$ . Relation (4.28) holds for the identification (4.25) when  $q_0$  is a root of unity of type I, and for the identification (4.26) when  $q_0$  is a root of unity of type II. In the case of sector A ( $S^Z \equiv 0 \pmod{N}$ ) and  $q_0$  is a root of unity with  $q_0^{2N} = 1$ ), we have the commutation relation [14]

$$[S_{\xi}^{+(N)}, S_{\xi}^{-(N)}] = (-1)^{N-1} q^N \frac{2}{N} S^Z. \quad (4.31)$$

Here the sign factor  $(-1)^{N-1} q^N$  is given by 1 or  $-1$  when  $q$  is a root of unity of type I or II, respectively. In the case of sector B ( $S^Z \equiv N/2 \pmod{N}$  with  $N$  odd and  $q_0$  a primitive  $N$ th root of unity), we have the commutation relation

$$[S_{\xi}^{+(N)}, S_{\xi}^{-(N)}] = \frac{2}{N} S^Z. \quad (4.32)$$

Using the auto-morphism of the loop algebra  $\theta(E_0^{\pm}) = E_1^{\pm}$  and  $\theta(H_0) = H_1$ , we derive another identification. For instance, for the type I case, we may set

$$\begin{aligned} E_0^+ &= S_{\xi}^{+(N)}, & E_0^- &= S_{\xi}^{-(N)}, & E_1^+ &= T_{\xi}^{-(N)}, & E_1^- &= T_{\xi}^{+(N)}, \\ H_0 &= -H_1 & &= \frac{2}{N} S^Z. \end{aligned} \quad (4.33)$$

The identification (4.33) with  $\xi_n = 0$  for all  $n$  is given in [14].

Generators  $x_k^\pm$  and  $h_k$  for  $k \in \mathbb{Z}$  satisfying the defining relations (1.5) are the classical limits of the Drinfeld generators [6, 15]. However, we also call them Drinfeld generators for simplicity. There is an isomorphism between the Drinfeld generators and the Chevalley generators as follows [6, 15]:

$$E_1^\pm \mapsto x_0^\pm, \quad E_0^+ \mapsto x_1^-, \quad E_0^- \mapsto x_{-1}^+, \quad -H_0 = H_1 \mapsto h_0. \tag{4.34}$$

For roots of unity of type I, i.e.  $q_0$  is a primitive  $N$ th root of unity with  $N$  odd ( $q_0^N = 1$ ) or  $q$  is a  $2N$ th primitive root of unity with  $N$  even ( $q_0^N = -1$ ), through isomorphism (4.34) and identification (4.25) we have the following correspondence:

$$\begin{aligned} x_0^+ &= S_\xi^{+(N)}, & x_0^- &= S_\xi^{-(N)}, & x_{-1}^+ &= T_\xi^{+(N)}, & x_1^- &= T_\xi^{-(N)}, \\ h_0 &= \frac{2}{N} S^Z. \end{aligned} \tag{4.35}$$

Here relations (4.35) are valid both in the sector  $S^Z \equiv 0 \pmod{N}$  and in the sector  $S^Z \equiv N/2 \pmod{N}$ . For roots of unity of type II, i.e. when  $q_0$  is a  $2N$ th primitive root of unity with  $N$  odd ( $q_0^N = -1$ ), through the isomorphism (4.34) and the identification (4.26), we have the following:

$$\begin{aligned} x_0^+ &= \sqrt{-1} S_\xi^{+(N)}, & x_0^- &= \sqrt{-1} S_\xi^{-(N)}, & x_{-1}^+ &= \sqrt{-1} T_\xi^{+(N)}, \\ x_1^- &= \sqrt{-1} T_\xi^{-(N)}, & h_0 &= \frac{2}{N} S^Z. \end{aligned} \tag{4.36}$$

Let the symbol  $U_q^{\text{res}}(g)$  denote the algebra generated by the  $q$ -divided powers of the Chevalley generators of a Lie algebra  $g$  such as  $(e_j^\pm)_q^{(N)}$  [7]. The correspondence of the algebra  $U_{q_0}^{\text{res}}(g)$  at a root of unity,  $q_0$ , to the Lie algebra  $U(g)$  was obtained essentially through the machinery introduced by Lusztig [29, 30] both for finite-dimensional simple Lie algebras and infinite-dimensional affine Lie algebras. In fact, by using the higher order quantum Serre relations [30], it has been shown that the affine Lie algebra  $U(\hat{sl}_2)$  is generated by  $(e_j^\pm)_{q_0}^{(N)}$  at roots of unity. However, in the case of the affine Lie algebras  $\hat{g}$ , the highest weight conditions for the Drinfeld generators are different from those for the Chevalley generators. Through the highest weight vectors of the Drinfeld generators, finite-dimensional representations were discussed by Chari and Pressley for  $U_{q_0}^{\text{res}}(\hat{g})$  [7].

### 5. The outline of the proof of the highest weight conjecture

#### 5.1. Sufficient conditions of a highest weight vector

**Lemma 6.** *Suppose that  $x_0^\pm, x_{-1}^+, x_1^-$  and  $h_0$  satisfy the defining relations of  $U(L(sl_2))$ , and  $x_k^\pm$  and  $h_k$  ( $k \in \mathbb{Z}$ ) are generated from them. If a vector  $|\Phi\rangle$  satisfies the following:*

$$x_0^+ |\Phi\rangle = x_{-1}^+ |\Phi\rangle = 0, \tag{5.1}$$

$$h_0 |\Phi\rangle = r |\Phi\rangle, \tag{5.2}$$

$$(x_0^+)^{(n)} (x_1^-)^{(n)} |\Phi\rangle = \lambda_n |\Phi\rangle \quad \text{for } n = 1, 2, \dots, r, \tag{5.3}$$

where  $r$  is a non-negative integer and  $\lambda_n$  are complex numbers. Here  $(x)^{(n)}$  denotes  $x^n/n!$ . Then  $|\Phi\rangle$  is highest weight, i.e. we have

$$x_k^+ |\Phi\rangle = 0 \quad (k \in \mathbb{Z}), \tag{5.4}$$

$$h_k |\Phi\rangle = d_k |\Phi\rangle \quad (k \in \mathbb{Z}), \tag{5.5}$$

where  $d_k$  are complex numbers.

Lemma 6 will be shown in appendix A. Here we note that conditions (5.1), (5.2) and (5.3) are also necessary for  $|\Phi\rangle$  to be highest weight.

We shall derive theorem 3 on the highest weight conjecture through lemma 6. Conditions (5.1) and (5.3) correspond to (1.11) and (1.12), respectively. We call conditions (5.1) and (5.3) *annihilation property* and *diagonal property*, respectively. We note that conditions (5.4) and (5.5) correspond to (1.6) and (1.7), respectively.

### 5.2. Isolated solutions of the Bethe ansatz equations

As far as the Bethe ansatz equations are concerned, every isolated solution at a root of unity,  $q_0$ , is continuously extended to a solution at generic  $q$  near  $q_0$ . Note that the Bethe ansatz equations (1.4) (and (3.13)) are expressed in terms of rational functions of  $q$  of finite degree.

We now assume conjecture 2. Let  $\tilde{t}_1, \tilde{t}_2, \dots, \tilde{t}_R$  be a set of regular Bethe roots at  $q_0$  forming an isolated solution of Bethe ansatz equations. It follows from conjecture 2 that there exist such regular Bethe roots at  $q, t_1, t_2, \dots, t_R$ , that approach the regular Bethe roots at  $q_0, \tilde{t}_1, \tilde{t}_2, \dots, \tilde{t}_R$ , respectively, when  $q$  goes to  $q_0$ . The regular Bethe state  $|R\rangle$  at  $q_0$  associated with  $\tilde{t}_j$  is thus given by

$$|R\rangle = B(\tilde{t}_1, \eta_0)B(\tilde{t}_2, \eta_0) \cdots B(\tilde{t}_R, \eta_0)|0\rangle. \quad (5.6)$$

Here we recall  $q = \exp(2\eta)$ , and the  $\eta$ -dependence has been explicitly expressed as  $B(w, \eta)$ . Let us denote by  $|R\rangle_q$  the regular Bethe state at  $q$

$$|R\rangle_q = B(t_1, \eta)B(t_2, \eta) \cdots B(t_R, \eta)|0\rangle. \quad (5.7)$$

Then, we have the following:

$$|R\rangle = \lim_{q \rightarrow q_0} |R\rangle_q. \quad (5.8)$$

We remark that conjecture 2 is supported by an extensive study of numerical solutions of the Bethe ansatz equations near roots of unity [16]. For  $R = 1$ , we can show it explicitly with analytic expressions of Bethe roots in terms of  $q$ .

### 5.3. Annihilation property

Let us discuss the derivation of relations (1.11) (i.e. (5.1)). We construct operators  $S_\xi^{+(N)}$  and  $T_\xi^{+(N)}$  as follows:

$$S_\xi^{+(N)} = \lim_{q \rightarrow q_0} \frac{1}{[N]_q!} (\hat{C}(\infty, \eta))^N, \quad T_\xi^{+(N)} = \lim_{q \rightarrow q_0} \frac{1}{[N]_q!} (\hat{C}(-\infty, \eta))^N. \quad (5.9)$$

We evaluate the action of the operator  $S_\xi^{+(N)}$  on the vector  $|R\rangle$  by the limiting procedure

$$S_\xi^{+(N)}|R\rangle = \lim_{q \rightarrow q_0} \left\{ \frac{1}{[N]_q!} (\hat{C}(\infty, \eta))^N B(t_1, \eta) \cdots B(t_R, \eta)|0\rangle \right\}. \quad (5.10)$$

Let us recall that  $L$  is the lattice size and  $R$  is the number of regular Bethe roots. We denote by  $\Sigma_R = \{1, 2, \dots, R\}$  the set of indices of the regular Bethe roots,  $t_1, t_2, \dots, t_R$ . For a given set  $S$  we denote by  $|S|$  the number of elements. We express by  $\sum_{A \subset B}^{|A|=n}$  the sum over all such subsets  $A$  of  $B$  that have  $n$  elements. The following lemma will be shown in section 6.

**Lemma 7.** Let  $t_1, t_2, \dots, t_R$  be regular Bethe roots at generic  $q$ . For a given positive integer  $N_c$ , we have

$$\begin{aligned} \frac{1}{[N_c]_q!} (\hat{C}(\pm\infty))^{N_c} B(t_1)B(t_2) \cdots B(t_R)|0\rangle &= \sum_{S_{N_c} \subseteq \Sigma_R}^{|S_{N_c}|=N_c} \prod_{\ell \in \Sigma_R \setminus S_{N_c}} B(t_\ell)|0\rangle \\ &\times \exp\left(\pm \sum_{j \in S_{N_c}} t_j\right) \prod_{j \in S_{N_c}} \left(a_\xi^{6V}(t_j) \prod_{k \in \Sigma_R \setminus S_{N_c}} f(t_j - t_k)\right) \\ &\times (-1)^{N_c} (q^{\pm 1} - q^{\mp 1})^{N_c} \times \prod_{\ell=0}^{N_c-1} \left[\frac{L}{2} - R + N_c - \ell\right]_q. \end{aligned} \tag{5.11}$$

Assuming conjecture 2 we have the following:

**Proposition 8.** (i) When  $L$  is even and  $q_0$  a root of unity with  $q_0^{2N} = 1$ , every regular Bethe state  $|R\rangle$  at  $q_0$  is annihilated by operators  $S^{+(N)}$  and  $T^{+(N)}$  in any sector of  $S^Z \in \mathbf{Z}$ :

$$S_\xi^{+(N)}|R\rangle = T_\xi^{+(N)}|R\rangle = 0. \tag{5.12}$$

(ii) When  $L$  is odd,  $N$  is odd and  $q_0$  a primitive  $N$ th root of unity, every regular Bethe state  $|R\rangle$  at  $q_0$  is annihilated by operators  $S_\xi^{+(N)}$  and  $T_\xi^{+(N)}$  in any sector of  $S^Z$ , where  $S^Z$  takes half-integers.

**Proof.** Let us put  $N_c = N$  in (5.11). In the case of even  $L$ , the product:  $\prod_{\ell=0}^{N-1} [L/2 - R + N - \ell]_{q_0}$  vanishes if  $q_0^{2N} = 1$ . Thus, by taking the limit  $q \rightarrow q_0$ , it follows from (5.11) that operators  $S^{+(N)}$  and  $T^{+(N)}$  annihilate the regular Bethe state  $|R\rangle$ . In the case of odd  $L$ , the product:  $\prod_{\ell=0}^{N-1} [L/2 - R + N - \ell]_{q_0}$  vanishes if  $q_0^N = 1$ . It follows that operators  $S^{+(N)}$  and  $T^{+(N)}$  annihilate the regular Bethe state  $|R\rangle$ .  $\square$

We note that when  $q = \pm 1$  and  $N = 1$ , expression (5.11) leads to another proof for the spin  $SU(2)$  invariance of the XXX Bethe states shown in [32]. In fact, the right hand side of (5.11) vanishes when  $q = \pm 1$  and  $N = 1$ , since factor  $q - q^{-1}$  vanishes.

#### 5.4. Diagonal property

Let us consider the limiting procedure of sending rapidities  $z_j$  and  $z_k$  to infinity in the products of operators such as  $\hat{B}(z_j)$  and  $\hat{C}(z_k)$ . Since they are matrices of finite sizes, the infinite limiting procedure does not depend on the order of sending arguments  $z_j$  to infinity. For instance, we have

$$\lim_{z_1 \rightarrow \infty} \left( \lim_{z_2 \rightarrow \infty} \hat{C}(z_1)\hat{B}(z_2) \right) = \left( \lim_{z_1 \rightarrow \infty} \hat{C}(z_1) \right) \left( \lim_{z_2 \rightarrow \infty} \hat{B}(z_2) \right). \tag{5.13}$$

Each of the matrix elements of operators  $\hat{B}(z_j)$  and  $\hat{C}(z_j)$  is written as a sum of products of  $2 \times 2$  matrices such as  $\sinh(z_j \pm \eta\sigma_n^z) / \sinh z_j$  and  $\sinh 2\eta\sigma_n^\pm / \sinh z_j$ . Here we recall normalization (4.13).

In addition to regular Bethe roots at generic  $q, t_1, t_2, \dots, t_R$ , we introduce  $kN$  rapidities,  $z_1, z_2, \dots, z_{kN}$ , forming a complete  $kN$ -string:  $z_j = \Lambda + (kN + 1 - 2j)\eta$  for  $j = 1, 2, \dots, kN$ . Here we recall definition (4.17) of the complete  $N$ -string. We calculate the action of  $(S_\xi^{+(N)})^k (T_\xi^{-(N)})^k$  on the Bethe state at  $q_0, |R\rangle = B(\tilde{t}_1) \cdots B(\tilde{t}_R)|0\rangle$ , as follows:

$$\begin{aligned} (S_\xi^{+(N)})^k (T_\xi^{-(N)})^k |R\rangle &= \lim_{q \rightarrow q_0} \left( \lim_{\Lambda \rightarrow \infty} \frac{1}{([N]_q!)^k} (\hat{C}(\infty))^{kN} \right. \\ &\times \left. \frac{1}{([N]_q!)^k} \hat{B}(z_1, \eta) \cdots \hat{B}(z_{kN}, \eta) B(t_1, \eta) \cdots B(t_R, \eta) |0\rangle \right). \end{aligned} \tag{5.14}$$



Associated with the limit  $\Lambda \rightarrow \pm\infty$ , we define  $\epsilon_v^\pm$  by

$$\epsilon_v^\pm = \exp(\mp 2z_v) = \epsilon_0^\pm q^{\pm 2v}, \quad \text{for } v = 0, 1, \dots, kN. \tag{5.15}$$

Here  $\epsilon_0^\pm = \exp(\mp \Lambda \mp (kN + 1)\eta)$ . We expand  $\hat{B}(z_v)$  at infinities:

$$\hat{B}(z_v) = \sum_{n=0}^\infty \hat{b}_{\xi,n}^\pm (\epsilon_v^\pm)^n \quad (\Lambda \rightarrow \pm\infty). \tag{5.16}$$

Infinite series (5.16) is convergent if  $\epsilon_v^\pm$  is small enough. The matrix  $\hat{B}(z_v)$  has a finite number of matrix elements which are given by sums of products of  $2 \times 2$  matrices such as  $\sinh(z_j - \xi_n \pm \eta\sigma_n^\pm) / \sinh(z_j - \xi_n)$  and  $\sinh 2\eta\sigma_n^\pm / \sinh(z_j - \xi_n)$ .

Let  $t_1, t_2, \dots, t_R$  be a set of regular Bethe roots at generic  $q$ . We define  $s_j^\pm(x)$  by

$$s_j^\pm(x) = 1 - x \exp(\pm 2t_j) \quad \text{for } j = 1, 2, \dots, R. \tag{5.17}$$

For a subset  $J$  of  $\Sigma_R$ , i.e.  $J \subset \Sigma_R$ , we define  $F_J^\pm(x)$  by

$$F_J^\pm(x) = \prod_{\ell \in \Sigma_R \setminus J} s_\ell^\pm(x). \tag{5.18}$$

When  $J$  is empty,  $F_J^\pm(x)$  reduces to  $F^\pm(x)$  defined in (2.2). We define  $X_{\xi,J}^\pm(x)$  by

$$\begin{aligned} X_{\xi,J}^\pm(x) &= \frac{\phi_\xi^\pm(xq^{\pm\rho})}{F_J^\pm(xq^{\mp(\rho+1)})F_J^\pm(xq^{\pm(\rho+1)})} \prod_{\ell=1}^\rho \frac{\phi_\xi^\pm(xq^{\pm(2\ell-\rho-1)})}{\phi_\xi^\pm(xq^{\pm(2\ell-\rho)})} \\ &\times \prod_{j \in J} (s_j^\pm(xq^{\mp(\rho-1)})s_j^\pm(xq^{\pm(\rho-1)}))^{-1}. \end{aligned} \tag{5.19}$$

Here  $\rho$  is given by  $|J|$ . We define  $\chi_{\xi,n}^{\pm;J}$  by the following series expansion:

$$X_{\xi,J}^\pm(x) = \sum_{n=0}^\infty \chi_{\xi,n}^{\pm;J} x^n (|x| \ll 1). \tag{5.20}$$

We shall show in section 6 the following.

**Lemma 9.** *Let  $t_1, t_2, \dots, t_R$  be a set of regular Bethe roots at generic  $q$  in the inhomogeneous case. Sending centre  $\Lambda$  of the  $kN$ -complete string to  $\pm\infty$ , we have*

$$\begin{aligned} &\left( \frac{1}{[N]_q!} (\hat{C}(\pm\infty))^N \right)^k B(t_1)B(t_2) \cdots B(t_R) \frac{1}{([N]_q!)^k} \hat{B}(z_1)\hat{B}(z_2) \cdots \hat{B}(z_{kN})|0\rangle \\ &= \left( \frac{[kN]_q!}{([N]_q!)^k} \right)^2 \sum_{\rho=0}^{kN} \sum_{J \subset \Sigma_R} \sum_{n_0=0}^{|J|=\rho} \sum_{n_0=0}^{(kN-\rho)\Theta(\rho-1)} \left( \sum_{n_1+\dots+n_\rho=n_0} q^{\pm \sum_{j=1}^\rho 2j(n_j+1)} \prod_{k=1}^\rho \hat{b}_{\xi,n_k}^\pm \right) \\ &\times \prod_{j \in \Sigma_R \setminus J} B(t_j)|0\rangle \exp\left(\pm \sum_{j \in J} t_j\right) q^{\mp(n_0+\rho)(\rho+1)} \left( \prod_{j \in J} a_\xi^{6V}(t_j) \prod_{\ell \in \Sigma_R \setminus J} f(t_j - t_\ell) \right) \\ &\times (-1)^{kN} (q^{\pm 1} - q^{\mp 1})^\rho \sum_{\ell=0; \ell \leq \rho}^{kN-\rho-n_0} (-1)^\ell \chi_{\xi, kN-\rho-n_0-\ell}^{\pm;J} \sum_{L \subset J}^{|L|=\ell} \exp\left(\pm \sum_{j \in L} 2t_j\right) \\ &\times \frac{1}{[\rho]_q!} \prod_{i=0}^{\ell-1} [L/2 - R - kN + i]_q \prod_{j=0}^{\rho-\ell-1} [L/2 - R - kN - j]_q + O(\epsilon_0^\pm). \end{aligned} \tag{5.21}$$

The symbol  $\sum_{n_1+\dots+n_\rho=n_0}$  denotes the sum overall non-negative integers  $n_1, n_2, \dots, n_\rho$  such that their sum is given by  $n_0$ . Here  $\Theta(x) = 1$  for  $x \geq 0$  and  $\Theta(x) = 0$  for  $x < 0$ .

In expansion (5.21), we call such terms with  $\rho > 0$  *off-diagonal terms*, and the term with  $\rho = 0$  the *diagonal term*.

Assuming conjecture 2 we have the following.

**Proposition 10.** *Let  $q_0$  be a root of unity with  $q_0^{2N} = 1$ . In the cases of sectors A and B, we have*

$$\begin{aligned} (S_\xi^{+(N)})^{(k)} (T_\xi^{-(N)})^{(k)} |R\rangle &= (-1)^{kN} \tilde{\chi}_{\xi,kN}^+ |R\rangle, \\ (T_\xi^{+(N)})^{(k)} (S_\xi^{-(N)})^{(k)} |R\rangle &= (-1)^{kN} \tilde{\chi}_{\xi,kN}^- |R\rangle, \end{aligned} \quad \text{for } k \in \mathbf{Z}_{\geq 0}. \tag{5.22}$$

**Proof.** Let us recall  $S^Z = L/2 - R$ . We have two cases whether  $\rho \equiv 0 \pmod{N}$  or not. When  $\rho \not\equiv 0 \pmod{N}$ , we have the vanishing product

$$\lim_{q \rightarrow q_0} \left( \frac{1}{[\rho]_q!} \prod_{i=0}^{\ell-1} [S^Z - kN + i]_q \prod_{j=0}^{\rho-\ell-1} [S^Z - kN - j]_q \right) = 0. \tag{5.23}$$

When  $\rho \equiv 0 \pmod{N}$  and  $\rho > 0$ , then  $\rho = tN$  for an integer  $t$  with  $0 < t \leq k$ . We have

$$\sum_{n_1 + \dots + n_{tN} = n_0} q_0^{\pm \sum_{j=1}^{tN} 2jn_j} \prod_{k=1}^{tN} \hat{b}_{\xi,n_k}^\pm |0\rangle = 0, \quad \text{for } n_0 \geq 0. \tag{5.24}$$

We now show (5.24). When  $q = q_0$ , a root of unity with  $q_0^{2N} = 1$ , we have the vanishing product of  $B$  operators with their arguments given by a complete  $tN$ -string, as follows [33]:

$$\hat{B}(z_1) \hat{B}(z_2) \cdots \hat{B}(z_{tN}) |0\rangle = 0. \tag{5.25}$$

Expanding  $\hat{B}(z_1) \hat{B}(z_2) \cdots \hat{B}(z_{tN}) |0\rangle$  with respect to  $\epsilon_0^\pm$ , we have

$$\sum_{n_0=0}^{\infty} (\epsilon_0^\pm)^{n_0} \sum_{n_1 + \dots + n_{tN} = n_0} q_0^{\pm \sum_{j=1}^{tN} 2jn_j} \prod_{k=1}^{tN} \hat{b}_{\xi,n_k}^\pm |0\rangle = 0.$$

Therefore, all the off-diagonal terms vanish in (5.21). Sending  $q$  to  $q_0$ , a root of unity with  $q_0^{2N} = 1$ , we have relations (5.22) of proposition 10.  $\square$

Here we note that we can show (5.25) also by the algebraic Bethe ansatz calculating all the matrix elements of the product of  $B$  operators acting on the vacuum [24].

We obtain coefficients  $\tilde{\chi}_{\xi,kN}^\pm$  of proposition 10 (and proposition 4), evaluating  $\chi_{\xi,kN}^{\pm;J}$ , which is defined by (5.20), at  $q_0$  where we set  $J = \emptyset$ ,  $\rho = 0$  and  $t_j = \tilde{t}_j$  for all  $j$ . Expression (2.4) of  $\tilde{\chi}_{\xi,kN}^\pm$  is derived through the following series expansion with respect to small  $x$ :

$$\frac{1}{(1 - xq \exp(2t_j))(1 - xq^{-1} \exp(2t_j))} = \sum_{k=0}^{\infty} [k + 1]_q x^k e^{2kt_j}. \tag{5.26}$$

We derive expression (2.5) of eigenvalues  $\lambda_k$  through relations (4.35) and (4.36) for type I and II, respectively. They connect the two sets of generators,  $S_\xi^{+(N)}$  and  $T_\xi^{-(N)}$ , and  $x_0^+$  and  $x_1^-$ , respectively.

### 5.5. Derivation of theorem 3

It follows from lemma 6 that we obtain theorem 3 (and proposition 4) from propositions 8 and 10. Here we recall that by assuming conjecture 2, propositions 8 and 10 are derived from lemmas 7 and 9, which are shown in sections 6.3 and 6.4, respectively.

### 5.6. On higher spin generalizations

By introducing higher dimensional representations of  $L$  operators, the inhomogeneous transfer matrix of the six-vertex model,  $\tau_{6V}(z; \{\xi_n\})$ , is generalized into that acting on the tensor product of higher dimensional vector spaces.

In the cases of sectors A and B, we show that the generalized inhomogeneous transfer matrix has the  $sl_2$  loop algebra symmetry at  $q = q_0$ . Here we employ the standard fusion method, and hence the higher spin generalization almost corresponds to a special case of the inhomogeneous one. The derivation of the  $sl_2$  loop algebra symmetry for the generalized inhomogeneous transfer matrix is parallel to that of section 3. The symmetry operators are derived from the  $N$ th powers of  $B$  and  $C$  operators by taking the infinite rapidity limit and then sending  $q$  to  $q_0$ . We then show similarly as in section 6 that all regular Bethe states at  $q_0$  are highest weight vectors in the cases of sectors A and B.

## 6. Explicit derivation of regular Bethe vectors being highest weight

### 6.1. Important relations for Bethe roots

**Lemma 11.** *Let  $t_1, t_2, \dots, t_R$  be a set of Bethe roots at a given value of  $q$ , and  $S$  be a subset of  $\Sigma_R = \{1, 2, \dots, R\}$ . For any pair of sets  $J_A$  and  $J_B$  such that  $J_A \cup J_B = S$  and  $J_A \cap J_B = \emptyset$ , we have the following:*

$$\begin{aligned} & \prod_{j \in J_A} \left( d_{\xi}^{6V}(t_j) \prod_{k \in \Sigma_R \setminus S} f(t_j - t_k) \right) \prod_{j \in J_A} \prod_{k \in J_B} f(t_j - t_k) \\ &= \prod_{j \in J_A} \left( d_{\xi}^{6V}(t_j) \prod_{k \in \Sigma_R \setminus S} f(t_k - t_j) \right) \prod_{j \in J_A} \prod_{k \in J_B} f(t_k - t_j). \end{aligned} \quad (6.1)$$

Furthermore, we have

$$\prod_{j \in S} d_{\xi}^{6V}(t_j) \prod_{k \in \Sigma_R \setminus S} f(t_j - t_k) = \prod_{j \in S} d_{\xi}^{6V}(t_j) \prod_{k \in \Sigma_R \setminus S} f(t_k - t_j). \quad (6.2)$$

**Proof.** The first relation (6.1) is derived from the Bethe ansatz equations (3.13). The second relation (6.2) follows from (6.1).  $\square$

### 6.2. Fundamental formula of the algebraic BA with infinite rapidities

Through the commutation relations such as (3.7), which are derived from the Yang–Baxter equation, it was shown in [24]:

$$\begin{aligned} & C(w_0)B(w_1) \cdots B(w_n) = B(w_1)B(w_2) \cdots B(w_n)C(w_0) \\ & + \sum_{j=1}^n B(w_1) \cdots B(w_{j-1})B(w_{j+1}) \cdots B(w_n)g(w_0 - w_j) \\ & \times \left\{ A(w_0)D(w_j) \prod_{k \neq j} f(w_0 - w_k)f(w_k - w_j) \right. \\ & \left. - A(w_j)D(w_0) \prod_{k \neq j} f(w_k - w_0)f(w_j - w_k) \right\} \end{aligned}$$

$$\begin{aligned}
 & - \sum_{1 \leq j < k \leq n} B(w_0)B(w_1) \cdots B(w_{j-1})B(w_{j+1}) \cdots B(w_{k-1})B(w_{k+1}) \cdots B(w_n) \\
 & \times g(w_0 - w_j)g(w_0 - w_k)\{A(w_j)D(w_k)f(w_j - w_k) \\
 & \times \prod_{\ell \neq j,k} f(w_j - w_\ell)f(w_\ell - w_k) \\
 & + A(w_k)D(w_j)f(w_k - w_j) \prod_{\ell \neq j,k} f(w_k - w_\ell)f(w_\ell - w_j)\}. \tag{6.3}
 \end{aligned}$$

Here parameters  $w_j$  for  $j = 0, 1, \dots, n$  are arbitrary.

We denote by  $\Sigma_M$  the set of  $M$  letters:  $\Sigma_M = \{1, 2, \dots, M\}$ . For a set  $S$  we express by  $|S|$  the number of elements. The symbol  $\mathcal{S}(n)$  denotes the symmetric group on  $n$  letters such as  $\{1, 2, \dots, n\}$ . For a finite set  $\Sigma$  we define  $\text{Sym}(\Sigma)$ , the symmetric group acting on the set  $\Sigma$ , as follows. Let  $m$  be the number of elements of  $\Sigma$ . Then, each element of  $\text{Sym}(\Sigma)$  gives a one-to-one map:  $\Sigma_m \rightarrow \Sigma$ . For instance, we have  $\mathcal{S}(n) = \text{Sym}(\Sigma_n)$ .

Recall that  $w_j$  for  $j = 1, 2, \dots, n$  are arbitrary parameters. For  $S_n = \{j_1, j_2, \dots, j_n\} \subset \Sigma_M$ , we introduce the following symbols:

$$\begin{aligned}
 \alpha_\xi^{\pm; \Sigma_M \setminus S_n}(z) &= a_\xi^{6V}(z)q^{\mp L/2} \prod_{\ell \in \Sigma_M \setminus S_n} q^{\pm 1} f(z - w_\ell), \\
 \bar{\alpha}_\xi^{\pm; \Sigma_M \setminus S_n}(z) &= d_\xi^{6V}(z)q^{\pm L/2} \prod_{\ell \in \Sigma_M \setminus S_n} q^{\mp 1} f(w_\ell - z). \tag{6.4}
 \end{aligned}$$

**Definition 12.** Let  $S_n$  be a subset of  $\Sigma_M$  with  $n$  elements:  $S_n = \{j_1, j_2, \dots, j_n\} \subset \Sigma_M$ . For a given  $P \in \mathcal{S}(n)$ , we denote by  $S_\ell^P$  the set  $\{j_{P1}, \dots, j_{P\ell}\}$  for  $\ell = 1, 2, \dots, n$ . Then we define  $\Delta(\xi)_{S_n; \Sigma_M}^\pm$  by

$$\begin{aligned}
 \Delta(\xi)_{S_n; \Sigma_M}^\pm &= \sum_{P \in \mathcal{S}(n)} \prod_{\ell=1}^n \left( \alpha_\xi^{\pm; \Sigma_M \setminus S_n}(w_{j_{P\ell}}) \prod_{k \in S_n \setminus S_\ell^P} q^{\pm 1} f(w_{j_{P\ell}} - w_k) \right. \\
 & \left. - \bar{\alpha}_\xi^{\pm; \Sigma_M \setminus S_n}(w_{j_{P\ell}}) \prod_{k \in S_n \setminus S_\ell^P} q^{\mp 1} f(w_k - w_{j_{P\ell}}) \right). \tag{6.5}
 \end{aligned}$$

Here we note that  $\Sigma_M \setminus S_\ell^P = (\Sigma_M \setminus S_n) \cup (S_n \setminus S_\ell^P)$ , for  $P \in \mathcal{S}(n)$  and  $\ell = 1, 2, \dots, n$ . We shall sometimes express  $\Delta(\xi)_{S_n; \Sigma_M}^\pm$  as  $\Delta(\xi)_{S_n}^{\pm; \Sigma_M \setminus S_n}$ .

**Lemma 13.** Let  $w_j$  be arbitrary parameters for  $j \in \Sigma_M$ . We have the following:

$$(\hat{C}(\pm\infty))^n \prod_{\ell \in \Sigma_M} B(w_\ell)|0\rangle = \sum_{S_n \subset \Sigma_M}^{|S_n|=n} \prod_{\ell \in \Sigma_M \setminus S_n} B(w_\ell)|0\rangle \exp\left(\pm \sum_{j \in S_n} w_j\right) \Delta(\xi)_{S_n; \Sigma_M}^\pm. \tag{6.6}$$

Here  $\sum_{S_n \subset \Sigma_M}^{|S_n|=n}$  denotes the sum over all such subsets  $S_n$  of  $\Sigma_M$  that have  $n$  elements.

**Proof.** Formula (6.6) is derived through induction on  $n$ . First, sending  $w_0$  to infinity in equation (6.3), we have the following:

$$\begin{aligned}
 \hat{C}(\pm\infty)B(w_1) \cdots B(w_M)|0\rangle &= \sum_{j=1}^M B(w_1) \cdots B(w_{j-1})B(w_{j+1}) \cdots B(w_M)|0\rangle e^{\pm w_j} \\
 & \times \left( a_\xi^{6V}(w_j)q^{\mp L/2} \prod_{k \neq j} q^{\pm 1} f(w_j - w_k) - d_\xi^{6V}(w_j)q^{\pm L/2} \prod_{k \neq j} q^{\mp 1} f(w_k - w_j) \right). \tag{6.7}
 \end{aligned}$$

This gives the case of  $n = 1$ . Let us assume the case of  $n$ . Multiplying both hand sides of equation (6.6) in the case of  $n$  by  $\hat{C}(\pm\infty)$ , applying equation (6.7) to the product of  $B$  operators on the right-hand side, we have equation (6.6) in the case of  $n + 1$ .  $\square$

Let  $m$  and  $n$  be non-negative integers satisfying  $m \geq n$ . We define the  $q$ -binomial coefficient by

$$\begin{bmatrix} m \\ n \end{bmatrix}_q = \frac{[m]_q}{[m-n]_q [n]_q!}. \tag{6.8}$$

**Lemma 14.** *Let  $S_n$  be a subset of  $\Sigma_M = \{1, 2, \dots, M\}$  with  $n$  integers. We express it as  $S_n = \{j_1, j_2, \dots, j_n\}$ . Let  $w_j$  for  $j = 1, 2, \dots, n$  be arbitrary parameters. We have*

$$\begin{aligned} \Delta(\xi)_{S_n; \Sigma_M}^\pm &= \sum_{P \in \mathcal{S}(n)} \sum_{k=0}^n (-1)^k \begin{bmatrix} n \\ k \end{bmatrix}_q q^{\pm n(n-1)/2} q^{\mp(n-1)k} \prod_{1 \leq \ell \leq n-k} \alpha_\xi^{\pm; \Sigma_M \setminus S_n}(w_{j_{P\ell}}) \\ &\times \prod_{n-k < \ell \leq n} \bar{\alpha}_\xi^{\pm; \Sigma_M \setminus S_n}(w_{j_{P\ell}}) \prod_{1 \leq \ell < m \leq n} f(w_{j_{P\ell}} - w_{j_{Pm}}). \end{aligned} \tag{6.9}$$

The proof of lemma 14 will be given in appendix C.

### 6.3. Proof of lemma 7

**Proof.** In formula (6.9) we put  $n = N_c$  and set parameters  $w_j$  as

$$w_j = t_j \quad \text{for } j = 1, 2, \dots, R. \tag{6.10}$$

Let us specify permutation  $P \in \mathcal{S}(N_c)$  as follows. First, we define disjoint sets  $I$  and  $K$  by  $I = \{P1, P2, \dots, P(N_c - k)\}$  and  $K = \{P(N_c - k + 1), \dots, P(N_c - 1), PN_c\}$ . Here we have  $I \cup K = \Sigma_{N_c} = \{1, 2, \dots, N_c\}$ . Second, we define  $P_I \in \text{Sym}(I)$  by  $P_I j = Pj$  for  $j = 1, 2, \dots, N_c - k$ , and  $P_K \in \text{Sym}(K)$  by  $P_K j = P(j + (N_c - k))$  for  $j = 1, 2, \dots, k$ . Then, permutation  $P$  is given by  $Pj = P_I j$  for  $1 \leq j \leq N_c - k$  and  $Pj = P_K(j - (N_c - k))$  for  $N_c - k + 1 \leq j \leq N_c$ . We therefore express the sum over all permutations as follows:

$$\sum_{P \in \mathcal{S}(N_c)} = \sum_{\substack{|I|=N_c-k, |K|=k \\ I \cup K = \Sigma_{N_c}}} \sum_{P_I \in \text{Sym}(I)} \sum_{P_K \in \text{Sym}(K)}. \tag{6.11}$$

Here,  $\sum_{\substack{|I|=N_c-k, |K|=k \\ I \cup K = \Sigma_{N_c}}}$  denotes the sum over all decompositions of  $\Sigma_{N_c}$  into disjoint sets  $I$  and  $K$ , where the numbers of elements are fixed such that  $|I| = N_c - k$  and  $|K| = k$ . Let  $J_I$  and  $J_K$  be  $J_I = \{j_\ell | \ell \in I\}$  and  $J_K = \{j_\ell | \ell \in K\}$ , respectively. We have

$$\prod_{\ell=1}^{N_c-k} \alpha_\xi^{\pm; \Sigma_R \setminus S_{N_c}}(w_{j_{P\ell}}) = \prod_{j \in J_I} \alpha_\xi^{\pm; \Sigma_R \setminus S_{N_c}}(t_j) \quad \text{for } P_I \in \text{Sym}(I)$$

Similarly, we have

$$\prod_{\ell=N_c-k+1}^{N_c} \bar{\alpha}_\xi^{\pm; \Sigma_R \setminus S_{N_c}}(w_{j_{P\ell}}) = \prod_{j \in J_K} \bar{\alpha}_\xi^{\pm; \Sigma_R \setminus S_{N_c}}(t_j) \quad \text{for } P_K \in \text{Sym}(K)$$

Thus,  $\Delta(\xi)^\pm_{S_{N_c}; \Sigma_R} q^{\mp N_c(N_c-1)/2}$  is given by

$$\begin{aligned} & \sum_{k=0}^{N_c} (-1)^k \begin{bmatrix} N_c \\ k \end{bmatrix}_q q^{\mp(N_c-1)k} \sum_{I \cup K = \Sigma_{N_c}}^{|I|=N_c-k, |K|=k} \prod_{j \in J_I} \alpha_\xi^{\pm; \Sigma_R \setminus S_{N_c}}(t_j) \\ & \times \prod_{\ell \in J_K} \bar{\alpha}_\xi^{\pm; \Sigma_R \setminus S_{N_c}}(t_\ell) \prod_{j \in J_I} \prod_{\ell \in J_K} f(t_j - t_\ell) \\ & \times \sum_{P_I \in \text{Sym}(I)} \prod_{1 \leq \ell < m \leq N-k} f(t_{j_{P_I \ell}} - t_{j_{P_I m}}) \sum_{P_K \in \text{Sym}(K)} \prod_{1 \leq \ell < m \leq k} f(t_{j_{P_K \ell}} - t_{j_{P_K m}}). \end{aligned}$$

Applying formula (B.2) to the sums over  $\text{Sym}(I)$  and  $\text{Sym}(K)$ , we have factors  $[N_c - k]_q!$  and  $[k]_q!$ , respectively. Thus, we have

$$\begin{aligned} \Delta(\xi)^\pm_{S_{N_c}; \Sigma_R} &= q^{\pm N_c(N_c-1)/2} [N_c]_q! \sum_{k=0}^{N_c} (-1)^k q^{\mp(N_c-1)k} \\ & \times \sum_{I \cup K = \Sigma_{N_c}}^{|I|=N_c-k, |K|=k} \prod_{j \in J_I} \alpha_\xi^{\pm; \Sigma_R \setminus S_{N_c}}(t_j) \prod_{\ell \in J_K} \bar{\alpha}_\xi^{\pm; \Sigma_R \setminus S_{N_c}}(t_\ell) \left( \prod_{j \in J_I} \prod_{\ell \in J_K} f(t_j - t_\ell) \right). \end{aligned}$$

We apply lemma 11 to the product of  $\alpha_\xi$ 's or  $\bar{\alpha}_\xi$ 's, and we make use of formula (B.3) where  $S_{N_c}$  and  $J_K$  give  $\Sigma_m$  and  $S_n$  of (B.3), respectively. Through the  $q$ -binomial formula (B.1), we obtain (5.11) for generic  $q$ .  $\square$

#### 6.4. Derivation of lemma 9

6.4.1. *A complete  $kN$ -string as additional rapidities.* Let us recall that the action of  $(S_\xi^{+(N)})^k (T_\xi^{-(N)})^k$  on the Bethe state  $|R\rangle$  is formulated through (5.14), where  $t_1, t_2, \dots, t_R$  are regular Bethe roots at generic  $q$ , and  $z_1, z_2, \dots, z_{kN}$  are additional  $kN$  rapidities forming a complete  $kN$ -string:  $z_j = \Lambda + (kN + 1 - 2j)\eta$  for  $j = 1, 2, \dots, kN$ .

We now consider a complete  $N_c$ -string, where  $N_c$  corresponds to the number of rapidities in the complete string, i.e.  $N_c = kN$ . Hereafter, we set parameters  $w_j$  as follows:

$$w_j = t_j \quad \text{for } 1 \leq j \leq R; \quad w_{j+R} = z_j \quad \text{for } 1 \leq j \leq N_c. \tag{6.12}$$

We write index  $R + j$  as  $\underline{j}$ , i.e.  $w_{\underline{j}} = w_{R+j}$  for  $1 \leq j \leq N_c$ . Here  $\Sigma_R = \{1, 2, \dots, R\}$  gives the set of indices of Bethe roots,  $t_1, t_2, \dots, t_R$ . We denote by  $Z_{N_c}$  the set of indices of  $N_c$  rapidities  $z_j$ :

$$Z_{N_c} = \{R + 1, R + 2, \dots, R + N_c\} = \{\underline{1}, \underline{2}, \dots, \underline{N_c}\}. \tag{6.13}$$

The set of all indices,  $\Sigma_{R+N_c} = \{1, 2, \dots, R, R + 1, \dots, R + N_c\}$ , is given by the union of  $\Sigma_R$  and  $Z_{N_c}$ , i.e.  $\Sigma_{R+N_c} = \Sigma_R \cup Z_{N_c}$ .

Let  $S_{N_c}$  be a subset of  $\Sigma_{R+N_c}$  with  $N_c$  elements. We define two disjoint sets  $J$  and  $W$  by  $J = S_{N_c} \cap \Sigma_R$  and  $W = S_{N_c} \cap Z_{N_c}$ , respectively. Let  $\rho$  be the number of elements of  $J$ , i.e.  $\rho = |J|$ . We express elements of  $J$  as  $j_\ell$  for  $\ell = 1, 2, \dots, \rho$ , and put them in increasing order:  $j_1 < j_2 < \dots < j_\rho$ . Set  $W$  is given by  $S_{N_c} \setminus J$ , and it is the set of suffices for rapidities  $z_j$  in  $S_{N_c}$ . In order to specify subset  $S_{N_c} = J \cup W$ , we specify set  $Z_{N_c} \setminus W$ . We express elements of  $Z_{N_c} \setminus W$  by  $\underline{v}_1, \underline{v}_2, \dots, \underline{v}_\rho$ , and put them in increasing order:  $v_1 < v_2 < \dots < v_\rho$ . Here we note that  $\Sigma_{R+N_c} \setminus S_{N_c} = (\overline{\Sigma_R} \setminus J) \cup (Z_{N_c} \setminus W)$ .

We normalize  $\Delta(\xi)^\pm_{S_{N_c}; \Sigma_{R+N_c}}$  as  $\hat{\Delta}(\xi)^\pm_{S_{N_c}; \Sigma_{R+N_c}} = \Delta(\xi)^\pm_{S_{N_c}; \Sigma_{R+N_c}} / \prod_{\underline{v} \in W} n_\xi(z_{\underline{v}})$ . We express  $\hat{\Delta}(\xi)^\pm_{S_{N_c}; \Sigma_{R+N_c}}$  as  $\hat{\Delta}(\xi)^\pm_{j_1, \dots, j_\rho; \underline{v}_1, \dots, \underline{v}_\rho}$ , for simplicity. We have

$$\hat{\Delta}(\xi)_{S_{N_c}}^{\pm; \Sigma_{R+N_c} \setminus S_{N_c}} = \hat{\Delta}(\xi)_{S_{N_c}}^{\pm; (\Sigma_R \setminus J) \cup (Z_{N_c} \setminus W)} = \hat{\Delta}(\xi)_{j_1, j_2, \dots, j_\rho}^{\pm; v_1, v_2, \dots, v_\rho}. \tag{6.14}$$

Hereafter we write  $\epsilon_0^+$  simply as  $\epsilon_0$  and  $\hat{\Delta}(\xi)_{j_1, j_2, \dots, j_\rho}^{+; v_1, v_2, \dots, v_\rho}$  as  $\hat{\Delta}(\xi)_{j_1, j_2, \dots, j_\rho}^{v_1, v_2, \dots, v_\rho}$ .

Taking advantage of the complete  $N_c$ -string  $z_j$ 's, we can show the following.

**Lemma 15.** *If  $q$  is generic and  $\rho > 0$ ,  $\hat{\Delta}(\xi)_{j_1, \dots, j_\rho}^{v_1, \dots, v_\rho}$  vanishes unless  $v_1, v_2, \dots, v_\rho$  are given by  $\nu + 1, \nu + 2, \dots, \nu + \rho$ , respectively, for an integer  $\nu$  with  $0 \leq \nu \leq N_c - \rho$ .*

Lemma 15 will be shown in appendix D.

Substituting  $n$  and  $M$  of formula (6.6) by  $N_c$  and  $R + N_c$ , respectively, we have for generic  $q$  the following:

$$\begin{aligned} (\hat{C}(\infty))^{N_c} B(t_1) \cdots B(t_R) \hat{B}(z_1) \cdots \hat{B}(z_{N_c})|0\rangle &= \sum_{\rho=0}^{N_c} \sum_{J \subset \Sigma_R}^{|J|=\rho} \sum_{W \subset Z_{N_c}}^{|W|=N_c-\rho} \\ &\times \prod_{\nu \in Z_{N_c} \setminus W} \hat{B}(z_\nu) \prod_{\ell \in \Sigma_R \setminus J} B(t_\ell)|0\rangle \exp\left(\sum_{j \in J} t_j\right) \frac{\exp\left(\sum_{\nu \in W} 2z_\nu\right)}{(q - q^{-1})^{N_c-\rho}} \hat{\Delta}(\xi)_{S_{N_c}}^{+; \Sigma_{R+N_c} \setminus S_{N_c}} \\ &= \sum_{\rho=0}^{N_c} (\epsilon_0)^{\rho-N_c} \sum_{1 \leq j_1 < \dots < j_\rho \leq R} \sum_{1 \leq v_1 < \dots < v_\rho \leq N_c} \hat{B}(z_{v_1}) \cdots \hat{B}(z_{v_\rho}) \prod_{\ell \in \Sigma_R \setminus J} B(t_\ell)|0\rangle \\ &\times q^{2v_1 + \dots + 2v_\rho} \hat{\Delta}(\xi)_{j_1, \dots, j_\rho}^{v_1, \dots, v_\rho} \times e^{\sum_{j \in J} t_j} \frac{q^{-N_c(N_c+1)}}{(q - q^{-1})^{N_c-\rho}}. \end{aligned} \tag{6.15}$$

Here we assume that when  $\rho = 0$ , the sums  $\sum_{1 \leq v_1 < \dots < v_\rho \leq N_c}$  and  $\sum_{J \subset \Sigma_R}$  are given by 1, respectively. Recall that the term of  $\rho = 0$  in (6.15) is called the diagonal term, and the terms of  $\rho > 0$  are called off-diagonal terms.

**Proposition 16.** *Let  $t_1, t_2, \dots, t_R$  be regular Bethe roots at generic  $q$ , and  $z_1, z_2, \dots, z_{N_c}$  form a complete  $N_c$ -string with centre  $\Lambda$ . We have*

$$\begin{aligned} (\hat{C}(\infty))^{N_c} B(t_1) \cdots B(t_R) \hat{B}(z_1) \cdots \hat{B}(z_{N_c})|0\rangle &= \prod_{\ell=1}^R B(t_\ell)|0\rangle \epsilon_0^{-N_c} \hat{\Delta}(\xi)_{Z_{N_c}}^{+; \Sigma_{R+N_c} \setminus Z_{N_c}} \frac{q^{-N_c(N_c+1)}}{(q - q^{-1})^{N_c}} \\ &+ \sum_{\rho=1}^{N_c} \sum_{J \subset \Sigma_R}^{|J|=\rho} \sum_{n_0=0}^{\infty} \epsilon_0^{n_0+\rho-N_c} \sum_{n_1+\dots+n_\rho=n_0} \hat{b}_{\xi, n_1}^+ \cdots \hat{b}_{\xi, n_\rho}^+ \prod_{j \in \Sigma_R \setminus J} B(t_j)|0\rangle \\ &\times \Sigma(\hat{\Delta}_\xi)_J \times e^{\sum_{j \in J} t_j} \frac{q^{-N_c(N_c+1)}}{(q - q^{-1})^{N_c-\rho}} q^{\sum_{\ell=1}^\rho 2\ell(n_\ell+1)}, \end{aligned} \tag{6.16}$$

where  $\Sigma(\hat{\Delta}_\xi)_J$  is given by

$$\Sigma(\hat{\Delta}_\xi)_J = \sum_{\nu=0}^{N_c-\rho} q^{2\nu(n_0+\rho)} \hat{\Delta}(\xi)_{j_1, \dots, j_\rho}^{\nu+1, \dots, \nu+\rho}. \tag{6.17}$$

Here,  $\sum_{J \subset \Sigma_R}^{|J|=\rho}$  denotes the sum over all such subsets of  $\Sigma_R$  that have  $\rho$  elements, where  $J = \{j_1, \dots, j_\rho\}$ , and  $\sum_{n_1+\dots+n_\rho=n_0}$  the sum over all non-negative integers  $n_1, n_2, \dots, n_\rho$  satisfying the condition:  $n_1 + n_2 + \dots + n_\rho = n_0$ .

**Proof.** For off-diagonal terms in (6.15), we expand the product of  $B$  operators,  $\hat{B}(z_{v_1}) \cdots \hat{B}(z_{v_\rho})$ , in the power series of  $\epsilon_0$  through (5.16). We have the infinite sum over

all non-negative integers  $n_1, n_2, \dots, n_\rho$  satisfying the condition:  $n_1 + n_2 + \dots + n_\rho = n_0$ . It follows from lemma 15 that we have

$$\sum_{1 \leq v_1 < \dots < v_\rho \leq N_c} q^{2v_1(n_1+1) + \dots + 2v_\rho(n_\rho+1)} \hat{\Delta}(\xi)_{j_1, \dots, j_\rho}^{v_1, \dots, v_\rho} = q^{\sum_{\ell=1}^\rho 2\ell(n_\ell+1)} \Sigma(\Delta_\xi)_J.$$

Making use of it, we derive expression (6.16). □

Let us consider the term of  $\rho = 0$  in expansion (6.15). It leads to the eigenvalue of  $(S_\xi^{+(N)})^k (T_\xi^{-(N)})^k$  by putting  $N_c = kN$  and sending  $q$  to a root of unity  $q_0$ .

**Lemma 17.** *The coefficient of the diagonal term of (6.15) is given by*

$$\epsilon_0^{-N_c} \frac{q^{-N_c(N_c+1)}}{(q - q^{-1})^{N_c}} \hat{\Delta}(\xi)_{Z_{N_c}}^{+; \Sigma_{R+N_c} \setminus Z_{N_c}} = (-1)^{N_c} \chi_{\xi, N_c}^+ ([N_c]_q!)^2 + O(\epsilon_0). \tag{6.18}$$

**Proof.** Making use of formula (6.9) at generic  $q$ , we have

$$\begin{aligned} \hat{\Delta}(\xi)_{Z_{N_c}}^{+; \Sigma_{R+N_c} \setminus Z_{N_c}} &= [N_c]_q! \frac{\prod_{\ell=1}^{N_c-1} \phi_\xi^+(\epsilon_0 q^{2\ell+1})}{\prod_{\ell=1}^{N_c} \phi_\xi^+(\epsilon_0 q^{2\ell})} F^+(\epsilon_0) F^+(\epsilon_0 q^{2N_c+2}) \\ &\times \sum_{j=0}^{N_c} (-1)^j \begin{bmatrix} N_c \\ j \end{bmatrix}_q q^{N_c(N_c-1)/2 - (N_c-1)j} \frac{\phi_\xi^+(\epsilon_0 q^{2j+1})}{F^+(\epsilon_0 q^{2j}) F^+(\epsilon_0 q^{2j+2})}. \end{aligned} \tag{6.19}$$

We expand the last line of (6.19) in terms of  $\epsilon_0$ . It is given by the series of (5.20) with  $J = \emptyset$  and  $\rho = 0$ . By noting  $\prod_{\ell=0}^{N_c-1} (1 - q^{2m-2\ell}) = 0$  for  $0 \leq m < N_c$ ,  $\hat{\Delta}(\xi)_{Z_{N_c}}^{+; \Sigma_{R+N_c} \setminus Z_{N_c}}$  is given by

$$\epsilon_0^{N_c} \chi_{\xi, N_c}^+ (-1)^{N_c} q^{N_c(N_c+1)} ([N_c]_q!)^2 (q - q^{-1})^{N_c} + O(\epsilon_0^{N_c+1}). \tag{6.20}$$

□

We now consider the off-diagonal terms of (6.15). Let  $J_I$  and  $J_K$  be disjoint sets such that  $J_I \cup J_K = J$ , where  $|J| = \rho$ . We define  $G_{J_I, J_K}^\pm(x)$  by

$$G_{J_I, J_K}^\pm(x) = \prod_{j \in J_I} s_j^\pm(xq^{\mp(\rho-1)}) \prod_{j \in J_K} s_j^\pm(xq^{\pm(\rho-1)}). \tag{6.21}$$

Let us define subsequences. We consider two sequences of numbers,  $a_1, a_2, \dots, a_m$  and  $b_1, b_2, \dots, b_n$ , and assume that  $\{b_1, b_2, \dots, b_n\} \subset \{a_1, a_2, \dots, a_m\}$  and  $n \leq m$ . We define sequence  $i(j)$  by  $a_{i(j)} = b_j$  for  $j = 1, 2, \dots, n$ . We say that  $b_1, b_2, \dots, b_n$  is a subsequence of  $a_1, a_2, \dots, a_m$ , if  $1 \leq i(1) < i(2) < \dots < i(n) \leq m$ .

**Proposition 18.** *Let  $J$  be a subset of  $\Sigma_R$ . We denote it as follows:  $J = \{j_1, j_2, \dots, j_\rho\}$ , where  $j_1 < j_2 < \dots < j_\rho$ . Then,  $\Sigma(\hat{\Delta}_\xi)_J$  is evaluated at generic  $q$  as follows:*

$$\begin{aligned} \sum_{v=0}^{N_c-\rho} q^{2v(n_0+\rho)} \hat{\Delta}(\xi)_{j_1, \dots, j_\rho}^{v+1, \dots, v+\rho} &= [N_c]_q! \begin{bmatrix} N_c \\ \rho \end{bmatrix}_q q^{N_c(N_c-1)/2} q^{-(S^Z - N_c)\rho} \\ &\times \prod_{j \in J} \left( a_\xi^{6V}(t_j) \prod_{\ell \in \Sigma_R \setminus J} f(t_j - t_\ell) \right) F_J^+(\epsilon_{N_c+1}) F_J^+(\epsilon_0) \frac{\prod_{\ell=1}^{N_c-1} \phi_\xi^+(\epsilon_\ell q)}{\prod_{\ell=1}^{N_c} \phi_\xi^+(\epsilon_\ell)} \\ &\times \sum_{\sigma=0}^\rho (-1)^\sigma q^{2(S^Z - N_c)\sigma} q^{-(\rho-1)\sigma} \sum_{J_I \cup J_K = J}^{|J_I|=\rho-\sigma, |J_K|=\sigma} \left( \prod_{j \in J_I} \prod_{\ell \in J_K} f(t_\ell - t_j) \right) \end{aligned}$$



$$\begin{aligned}
 & \times \prod_{j \in J_I} s_j^+(\epsilon_0) \prod_{j \in J_K} s_j^+(\epsilon_{N_c+1}) \\
 & \times \sum_{v=0}^{N_c-\rho} (-1)^v q^{-(N_c-\rho-1-2n_0)v} \begin{bmatrix} N_c - \rho \\ v \end{bmatrix}_q X_{\xi, J}^+(\epsilon_v q^{\rho+1}) G_{J_I, J_K}^+(\epsilon_v q^{\rho+1}).
 \end{aligned}
 \tag{6.22}$$

Here,  $\sum_{J_I \cup J_K = J}^{|J_I|=\rho-\sigma, |J_K|=\sigma}$  denotes the sum over all pairs of disjoint sets  $J_I$  and  $J_K$  such that the sum of  $J_I$  and  $J_K$  gives  $J$ , and  $J_I$  and  $J_K$  have  $\rho - \sigma$  and  $\sigma$  elements, respectively.

**Proof.** When  $(v_1, v_2, \dots, v_\rho) = (v + 1, v + 2, \dots, v + \rho)$ , we have  $Z_{N_c} \setminus W = \{\underline{v+1}, \underline{v+2}, \dots, \underline{v+\rho}\}$ . The set  $S_{N_c} = J \cup W$  is given by the following:

$$S_{N_c} = \{j_1, j_2, \dots, j_\rho\} \cup \{\underline{1}, \underline{2}, \dots, \underline{v}, \underline{v+\rho+1}, \dots, \underline{N_c-1}, \underline{N_c}\}.
 \tag{6.23}$$

Let us put elements of  $S_{N_c}$  in increasing order as  $i_1 < i_2 < \dots < i_{N_c}$ . The first  $\rho$  elements  $i_1, i_2, \dots, i_\rho$  are given by  $j_1, j_2, \dots, j_\rho$ , respectively, and  $i_{\rho+1}, i_{\rho+2}, \dots, i_{\rho+v}$  by  $\underline{1}, \underline{2}, \dots, \underline{v}$ , respectively, and  $i_{\rho+v+1}, i_{\rho+v+2}, \dots, i_{N_c}$  by  $\underline{\rho+v+1}, \dots, \underline{N_c-1}, \underline{N_c}$ , respectively. By making use of formula (6.9) with  $S_{N_c}$  given by (6.23),  $\hat{\Delta}(\xi)_{j_1, \dots, j_\rho}^{v+1, \dots, v+\rho}$  is expressed as follows:

$$\begin{aligned}
 & \sum_{\kappa=v}^{v+\rho} (-1)^\kappa q^{N_c(N_c-1)/2 - (N_c-1)\kappa} \begin{bmatrix} N_c \\ \kappa \end{bmatrix}_q \left( \prod_{\beta=1}^v n_\xi(z_\beta) \prod_{\gamma=v+\rho+1}^{N_c} n_\xi(z_\gamma) \right)^{-1} \\
 & \times \sum_{P \in \mathcal{S}(N_c)} \prod_{\ell=1}^{N_c-\kappa} \alpha_\xi^{+; \Sigma_{R+N_c} \setminus S_{N_c}}(w_{i_{P\ell}}) \prod_{\ell=N_c-\kappa+1}^{N_c} \bar{\alpha}_\xi^{+; \Sigma_{R+N_c} \setminus S_{N_c}}(w_{i_{P\ell}}) \\
 & \times \prod_{1 \leq \ell < m \leq N_c} f(w_{i_{P\ell}} - w_{i_{Pm}}).
 \end{aligned}
 \tag{6.24}$$

In (6.24), the range of  $\kappa$  has been reduced from  $\kappa = 0, 1, \dots, N_c$  into  $\kappa = v, v+1, \dots, v+\rho$ . We first note that  $f(z_j - z_{j+1}) = 0$  for  $j = 1, \dots, v-1$  and for  $j = v+\rho, \dots, N_c-1$ . Hence the product  $\prod_{\ell < m} f_{i_{P\ell}, i_{Pm}}$  vanishes unless sequence  $i_{P1}, i_{P2}, \dots, i_{PN}$  contains two decreasing subsequences  $\underline{N_c}, \underline{N_c-1}, \dots, \underline{v+\rho+1}$  and  $\underline{v}, \underline{v-1}, \dots, \underline{1}$ , i.e. unless  $P^{-1}\ell > P^{-1}m$  for  $\rho+v+1 \leq \ell < m \leq N_c$  and  $P^{-1}\ell > P^{-1}m$  for  $\rho+1 \leq \ell < m \leq \rho+v$ . Here we define  $I$  and  $K$  by  $I = \{P1, P2, \dots, P(N_c-\kappa)\}$  and  $K = \{P(N_c-\kappa+1), \dots, P(N_c-1), PN_c\}$ , respectively. Secondly, we show that the summand of equation (6.24) vanishes unless  $\kappa \geq v$ . We note that  $\alpha_\xi^{+; \Sigma_{R+N_c} \setminus S_{N_c}}(z_v) = 0$  for  $S_{N_c}$  of (6.23), and  $\underline{v} = i_{\rho+v}$ . The summand of (6.24) therefore vanishes if  $\rho+v \in I$ , and we consider only such  $P$  where subsequence  $\rho+v, \rho+v-1, \dots, \rho+1$  is contained in sequence  $P(N_c-\kappa+1), \dots, P(N_c-1), PN_c$ . We therefore have  $\kappa \geq v$ . Thirdly, we show that the summand of (6.24) vanishes unless  $\kappa \leq v+\rho$ , in the same way as the case of  $\kappa \geq v$ . Here we recall that  $\bar{\alpha}_\xi^{+; \Sigma_{R+N_c} \setminus S_{N_c}}(z_{v+\rho+1}) = 0$  for  $S_{N_c}$  of (6.23), and  $i_{\rho+v+1} = \underline{\rho+v+1}$ . Thus, we have shown the reduction of the range of  $\kappa$ . Furthermore, we have shown that the summand of (6.24) vanishes unless

$$\{\rho+v+1, \dots, N_c-1, N_c\} \subset I, \quad \{\rho+1, \rho+2, \dots, \rho+v\} \subset K.
 \tag{6.25}$$

We now consider the sum over  $P \in \mathcal{S}(N_c)$  in (6.24). Let us introduce disjoint subsets  $S_I$  and  $S_K$  of  $S_{N_c}$  as follows:  $S_I = \{i_{P1}, i_{P2}, \dots, i_{P(N_c-\kappa)}\}$  and  $S_K = \{i_{P(N_c-\kappa+1)}, \dots, i_{P(N_c-1)}, i_{PN_c}\}$ . Then, we have

$$S_I = J_I \cup \{\underline{N_c}, \underline{N_c-1}, \dots, \underline{v+\rho+1}\}, \quad S_K = J_K \cup \{\underline{v}, \dots, \underline{2}, \underline{1}\}.
 \tag{6.26}$$

Here,  $J_I$  and  $J_K$  are disjoint subsets of  $J$  such that  $J = J_I \cup J_K$ . Let us denote by  $\sigma$  the number of elements of  $J_K$ , i.e.  $|J_K| = \sigma$  and  $|J_I| = \rho - \sigma$ . We express  $\sum_{P \in \mathcal{S}(N_c)}$  of (6.24)

by the sum over disjoint sets  $I$  and  $K$  with  $I \cup K = \Sigma_{N_c}$ , such as shown in (6.11). It follows from (6.26) that the sum  $\sum_{I \cup K}^{|I|=N_c-\kappa, |K|=\kappa}$  reduces to the sum  $\sum_{J_I \cup J_K=J}^{|J_I|=\rho-\sigma, |J_K|=\sigma}$ . Here we note that  $\kappa = \sigma + \nu$ . Similarly, as in the proof of lemma 7, calculating the sums over  $P_I \in \text{Sym}(I)$  and over  $P_K \in \text{Sym}(K)$ , we have factors  $[N_c - \kappa]!$  and  $[\kappa]!$ , respectively. Applying formula (6.1) of lemma 11, we show the following:

$$\prod_{j \in J_I} \alpha_{\xi}^{+; \Sigma_R \setminus J}(t_j) \prod_{k \in J_K} \bar{\alpha}_{\xi}^{+; \Sigma_R \setminus J}(t_k) \prod_{j \in J_I} \prod_{k \in J_K} f(t_j - t_k) = q^{(L/2 - R + \rho)(2\sigma - \rho)} \left( \prod_{j \in J} a_{\xi}^{6V}(t_j) \prod_{\ell \in \Sigma_R \setminus J} f(t_j - t_{\ell}) \right) \prod_{j \in J_I} \prod_{k \in J_K} f(t_j - t_k).$$

Expressing sum (6.24) over  $\kappa$  as that of  $\sigma$  ( $\kappa = \sigma + \nu$ ), we obtain expression (6.22). □

Let us expand  $G_{J_I, J_K}^{\pm}(\epsilon)$  with respect to small parameter  $\epsilon$ :

$$G_{J_I, J_K}^{\pm}(\epsilon) = \sum_{\ell=0}^{\rho} (-1)^{\ell} G_{\ell}^{\pm; J_I, J_K} \epsilon^{\ell}. \tag{6.27}$$

The coefficients  $G_{\ell}^{\pm; J_I, J_K}$  for  $\ell \leq \rho$  are explicitly given by

$$G_{\ell}^{\pm; J_I, J_K} = \sum_{\ell_I=0}^{\ell} q^{\pm(\rho-1)(\ell_K-\ell_I)} \sum_{L_I \subset J_I}^{|L_I|=\ell_I} \exp\left(\pm \sum_{j \in L_I} 2t_j\right) \sum_{L_K \subset J_K}^{|L_K|=\ell_K} \exp\left(\pm \sum_{j \in L_K} 2t_j\right). \tag{6.28}$$

Here  $\ell_K = \ell - \ell_I$ , and  $G_{\ell}^{\pm; J_I, J_K} = 0$  for  $\ell > \rho$ .

**Lemma 19.** Sum (6.17),  $\Sigma(\hat{\Delta}_{\xi})_J = \sum_{\nu=0}^{N-\rho} q^{2\nu(n_0+\rho)} \hat{\Delta}_{j_1, \dots, j_{\rho}}^{\nu+1, \dots, \nu+\rho}$ , is expanded in terms of  $\epsilon_0$  as follows:

$$\begin{aligned} & (\epsilon_0)^{N_c-\rho-n_0} (-1)^{N_c} q^{N_c(N_c+1)-(\rho+1)(n_0+\rho)} (q - q^{-1})^{N_c} ([N_c]_q!)^2 \\ & \times \left( \prod_{j \in J} a_{\xi}^{6V}(t_j) \prod_{\ell \in \Sigma_R \setminus J} f(t_j - t_{\ell}) \right) \sum_{\ell=0, \ell \leq N_c-\rho-n_0}^{\rho} (-1)^{\ell} \chi_{\xi, N_c-\rho-n_0-\ell}^{+; J} \\ & \times \frac{1}{[\rho]_q!} \prod_{i=0}^{\ell-1} [S^Z - N_c + i]_q \prod_{j=0}^{\rho-\ell-1} [S^Z - N_c - j]_q \sum_{L \subset J}^{|L|=\ell} \exp\left(\sum_{j \in L} 2t_j\right) \\ & + O((\epsilon_0)^{N_c-\rho-n_0+1}). \end{aligned} \tag{6.29}$$

We note that all the possibly divergent terms with order of  $\epsilon_0^{n_0+\rho-N_c}$  in (6.16) do not diverge since  $\Sigma(\hat{\Delta}_{\xi})_J$  is of order of  $(\epsilon_0)^{N_c-\rho-n_0}$  in the limit  $\epsilon_0 \rightarrow 0$ .

**Proof.** We evaluate sum (6.17) over  $\nu$ ,  $\Sigma(\hat{\Delta}_{\xi})_J$ , by (B.1) as follows:

$$\begin{aligned} & \sum_{\nu=0}^{N_c-\rho} (-1)^{\nu} q^{-(N_c-\rho-1-2n_0)\nu} \begin{bmatrix} N_c - \rho \\ \nu \end{bmatrix}_q X_{\xi, J}^{+}(\epsilon_{\nu}, q^{\rho+1}) G_{J_I, J_K}^{+}(\epsilon_{\nu}, q^{\rho+1}) \\ & = (\epsilon_0)^{N_c-\rho-n_0} (-1)^{N_c-\rho} (q - q^{-1})^{N_c-\rho} q^{-n_0(\rho+1)+(N_c-\rho)(N_c+\rho+3)/2} \\ & \times [N_c - \rho]_q! \sum_{\ell=0}^{\rho} (-1)^{\ell} \chi_{\xi, N_c-\rho-n_0-\ell}^{+; J} G_{\ell}^{+; J_I, J_K} + O((\epsilon_0)^{N_c-\rho-n_0+1}). \end{aligned} \tag{6.30}$$

Here, the product  $\prod_{i=0}^{N_c-\rho-1} (1 - q^{2(j+\ell+n_0-i)})$  vanishes for  $j + \ell < N_c - \rho - n_0$ , and is given by  $(-1)^{N_c-\rho} (q - q^{-1})^{N_c-\rho} [N_c - \rho]_q!$   $\times q^{(N_c-\rho)(N_c-\rho+1)/2}$  for  $j + \ell = N_c - \rho - n_0$ . Sum (6.17),  $\Sigma(\hat{\Delta}_\xi)_J$ , is thus given by

$$\begin{aligned} & \epsilon_0^{N_c-\rho-n_0} \times (-1)^{N_c-\rho} q^{N_c(N_c-1)/2-\rho(S^Z-N_c)} \left( \prod_{j \in J} a_\xi^{6V}(t_j) \prod_{\ell \in \Sigma_R \setminus J} f(t_j - t_\ell) \right) \\ & \times q^{-n_0(\rho+1)+(N_c-\rho)(N_c+\rho+3)/2} \frac{([N_c]_q!)^2}{[\rho]_q!} (q - q^{-1})^{N_c-\rho} \sum_{\ell=0, \ell \leq \rho}^{N_c-\rho-n_0} (-1)^\ell \chi_{\xi, N_c-\rho-n_0-\ell}^{+; J} \\ & \times \sum_{\sigma=0}^{\rho} (-1)^\sigma q^{-(\rho-1)\sigma} q^{2\sigma(S^Z-N_c)} \sum_{\substack{|J_I|=\rho-\sigma, |J_K|=\sigma \\ J_I \cup J_K = J}} \prod_{j \in J_I} \prod_{k \in J_K} f(t_k - t_j) G_\ell^{+; J_I, J_K} \\ & + O(\epsilon_0^{N_c-\rho-n_0+1}). \end{aligned} \tag{6.31}$$

Sum (6.17) over  $\nu$  is now reduced into sum (6.31) over  $\sigma$ . Lemma 19 follows from the next lemma 20.  $\square$

**Lemma 20.** *Let  $J$  be a subset of  $\Sigma_R$  with  $\rho$  elements. We have, for generic  $q$ ,*

$$\begin{aligned} & \sum_{\sigma=0}^{\rho} (-1)^\sigma q^{-(\rho-1)\sigma} q^{2(S^Z-N_c)\sigma} \sum_{\substack{|J_I|=\rho-\sigma, |J_K|=\sigma \\ J_I \cup J_K = J}} \left( \prod_{j \in J_I} \prod_{k \in J_K} f(t_k - t_j) \right) G_\ell^{+; J_I, J_K} \\ & = (-1)^\rho q^{-\rho(\rho-1)/2} q^{(S^Z-N_c)\rho} (q - q^{-1})^\rho \sum_{L \subset J}^{|L|=\ell} \exp \left( \sum_{j \in L} 2t_j \right) \\ & \times \prod_{i=0}^{\ell-1} [S^Z - N_c + i]_q \prod_{j=0}^{\rho-\ell-1} [S^Z - N_c - j]_q. \end{aligned} \tag{6.32}$$

The derivation of lemma 20 is given in appendix C.

Substituting the expression of  $\Sigma(\hat{\Delta}_\xi)_J$  derived in lemma 19 into proposition 16 and putting  $N_c = kN$ , we obtain lemma 9.

6.5. Some comments on the highest weight conjectures

The highest weight conjecture has been constructed gradually in a series of papers [14, 16–18]. It is found numerically [14] that the degenerate multiplicity of the  $sl_2$  loop algebra should be given by some power of 2. It suggests that the degenerate eigenspace corresponds to such an irreducible representation forming a tensor product of the spin-1/2 evaluation representations. However, any connection to the Bethe ansatz was not discussed in [14]. The spectral degeneracy of the XXZ spin chain at roots of unity was carefully compared with numerical solutions of the Bethe ansatz equations in [16], and degenerate multiplets are explained in terms of complete  $N$ -strings. The first version of the highest weight conjecture was given in the last paragraph of section 3 of [17], based on numerical classification of degenerate multiplets for  $L = 12$  and  $N = 3$ . Here, the idea of regular Bethe states is implicit but should have been known.

In [16, 17], a unique highest weight vector is assigned by such a vector that has the largest value of  $S^Z$  in a given degenerate multiplet of the  $sl_2$  loop algebra. We can understand the highest weight conjectures of [16, 17] correctly, even without the mathematical definition of highest weight vectors.

### 7. Highest weight polynomials and the Drinfeld polynomials

#### 7.1. Equivalence of the Fabricius–McCoy polynomial to the highest weight polynomial

**Definition 21.** Let  $|R\rangle$  be a regular Bethe state at  $q_0$  with regular Bethe roots  $\tilde{t}_1, \tilde{t}_2, \dots, \tilde{t}_R$ . We define  $Y_\xi(v)$  by

$$Y_\xi(v) = \sum_{\ell=0}^{N-1} \frac{\prod_{j=1}^L (\sinh(v - \xi_j - (2\ell + 1)\eta_0))}{\prod_{j=1}^R \sinh(v - \tilde{t}_j - 2\ell\eta_0) \sinh(v - \tilde{t}_j - 2(\ell + 1)\eta_0)}. \tag{7.1}$$

**Proposition 22.**  $Y_\xi(v)$  is a Laurent polynomial of variable  $z = \exp(\mp 2Nv)$  with degree  $r = (L - 2R)/N$  in the cases of sector A ( $r$  even) and sector B ( $r$  odd).

**Proof.** First, by definition,  $Y_\xi(v)$  is a rational function of variable  $\exp(\mp 2v)$  with a period  $2\eta_0$ . In sector A where  $L$  is even, we have  $\sinh(v + 2N\eta_0) = q_0^N \sinh v$  with  $q_0^N = \pm 1$ . In sector B where  $L$  is odd, we have  $\sinh(v + 2N\eta_0) = \sinh v$  since  $q_0^N = 1$ . Thus, it is at least a rational function of variable  $\exp(\mp 2Nv)$ . Secondly,  $Y_\xi(v)$  has no poles, since  $\tilde{t}_1, \tilde{t}_2, \dots, \tilde{t}_R$  satisfy the Bethe ansatz equations (1.4) at  $q_0$ . Thirdly, the function  $Y_\xi(v)$  has the asymptotic behaviour:  $Y_\xi(v) \propto \exp(\pm(L - 2R)v) = z^{\mp(L-2R)/2N}$  for  $v \rightarrow \pm\infty$ , where  $z = \exp(2Nv)$ . Thus, the degree of the Laurent polynomial is given by  $(L - 2R)/N$ .  $\square$

**Lemma 23.** When  $p$  is an integer with  $p \equiv 0 \pmod{N}$  and  $q_0$  a root of unity with  $q_0^{2N} = 1$ , or when  $p$  is a half-integer with  $p \equiv N/2 \pmod{N}$  and  $q_0$  a primitive  $N$ th root of unity with  $N$  odd, we have for any integer  $n$  the following:

$$\lim_{q \rightarrow q_0} \sum_{\ell=0}^{N-1} q^{\mp(p-n)(2\ell+1)} = \begin{cases} Nq_0^{\mp(p-n)} & \text{for } n \equiv 0 \pmod{N}, \\ 0 & \text{otherwise.} \end{cases} \tag{7.2}$$

**Proposition 24.** Let  $|R\rangle$  be a regular Bethe state at  $q_0$  in sector A or B in the inhomogeneous case. The Laurent polynomial  $Y_\xi(v)$  of  $|R\rangle$  corresponds to the highest weight polynomial  $\mathcal{P}^\lambda(z)$ .

**Proof.** We express  $Y_\xi(v)(-1)^L e^{\mp(L-2R)v} 2^{(L-2R)}$  as follows:

$$\frac{e^{\pm \sum_{j=1}^R 2\tilde{t}_j}}{e^{\pm \sum_{k=1}^L 2\tilde{\xi}_k}} \sum_{\ell=0}^{N-1} q_0^{\mp(L/2-R)(2\ell+1)} \frac{\phi_\xi^\pm(e^{\mp 2v} q_0^{\pm(2\ell+1)})}{\tilde{F}^\pm(e^{\mp 2v} q_0^{\pm 2\ell}) \tilde{F}^\pm(e^{\mp 2v} q_0^{\pm 2(\ell+1)})}. \tag{7.3}$$

We expand  $Y_\xi(v)$  in terms of  $\exp(\mp 2v)$ . Since it is a polynomial of  $\exp(\mp 2v)$ , the infinite sum reduces to a finite sum with an upper bound  $r = (L - 2R)/N$ , where the  $k$ th term vanishes at  $q_0$  unless  $k \equiv 0 \pmod{N}$  due to lemma 23:

$$Y_\xi(v) = \frac{e^{\pm \sum_{j=1}^R 2\tilde{t}_j}}{2^{(L-2R)}} N q_0^{\pm(L/2-R)} z^{\mp r/2} \sum_{k=0}^r \tilde{\chi}_{\xi,kN}^\pm (z^{\pm 1} q_0^N)^k. \tag{7.4}$$

Here  $\tilde{\chi}_{\xi,kN}^\pm$  are expressed by equation (2.4) in terms of rapidities,  $\tilde{t}_j$ . When  $q_0$  is a root of unity of type I, we have  $(zq_0^N)^k = z^k$  for  $N$  odd ( $q_0^N = 1$ ), and  $(zq_0^N)^k = (-z)^k$  for  $N$  even ( $q_0^N = -1$ ). When  $q_0$  is a root of unity of type II, we have  $(q_0^N z)^k = (-z)^k$  for  $N$  odd ( $q_0^N = -1$ ). We thus have for type I,

$$\sum_{k=0}^r \tilde{\chi}_{\xi,kN}^+ (zq_0^N)^k = \begin{cases} \sum_{k=0}^r \tilde{\chi}_{\xi,kN}^+ z^k & \text{for } N : \text{odd } (q_0^N = 1), \\ \sum_{k=0}^r \tilde{\chi}_{\xi,kN}^+ (-z)^k & \text{for } N : \text{even } (q_0^N = -1), \end{cases} \tag{7.5}$$

and for type II,

$$\sum_{k=0}^r \tilde{\chi}_{\xi, kN}^+(q_0^N z)^k = \sum_{k=0}^r \tilde{\chi}_{\xi, kN}^+(-z)^k \quad (N : \text{odd}; q_0^N = -1). \quad (7.6)$$

Thus, in the cases of sectors A and B, we have shown

$$\sum_{k=0}^r \tilde{\chi}_{\xi, kN}^+(q_0^N z)^k = \mathcal{P}^\lambda(z). \quad (7.7)$$

□

In the homogeneous case where  $\xi_n = 0$  for all  $n$ , we have the following:

**Corollary 25.** *For any given regular XXZ Bethe state  $|R\rangle$  at  $q_0$  in sector A or B, the Fabricius–McCoy polynomial  $\mathcal{P}^{\text{FM}}(u)$  corresponds to the highest weight polynomial  $\mathcal{P}^\lambda(u)$ .*

## 7.2. Examples of regular Bethe states

**7.2.1. The vacuum state as a regular XXZ Bethe state.** Let us calculate polynomial  $\mathcal{P}^\lambda(u)$  for the vacuum state  $|0\rangle$ , where  $L = 6$ ,  $R = 0$ ,  $N = 3$  and  $q_0^3 = 1$ :

$$\mathcal{P}^\lambda(u) = (1 - a_1 u)(1 - a_2 u) = 1 - (a_1 + a_2)u + a_1 a_2 u^2. \quad (7.8)$$

When  $N$  is odd and  $q_0^N = 1$ , we have  $\lambda_k = (-1)^k \tilde{\chi}_{\xi, kN}^+$ . We have  $\lambda_1 = 6!/(3!)^2 = 20$ ,  $\lambda_2 = 6!/(6!0!) = 1$ . The highest weight parameters are thus given by

$$\hat{a}_1, \hat{a}_2 = 10 \pm 3\sqrt{11}. \quad (7.9)$$

Since  $\hat{a}_1$  and  $\hat{a}_2$  are distinct ( $m_1 = m_2 = 1$ ), the vacuum state  $|0\rangle$  generates an irreducible representation. We thus have  $(1 + 1)^2 = 4$  as the dimension.

Let us discuss the case where  $L = 6$ ,  $R = 0$ ,  $N = 3$  and  $q_0^3 = -1$ . When  $N$  is odd and  $q_0^N = -1$ , we have  $\lambda_k = \tilde{\chi}_{\xi, kN}^+$ . We thus have

$$\hat{a}_1, \hat{a}_2 = -10 \pm 3\sqrt{11}. \quad (7.10)$$

For the XXZ Hamiltonian (1.1) with  $L$  even,  $q$  is mapped to  $-q$  by the unitary transformation,  $\prod_{j=1}^{L/2} \sigma_{2j}^z$ . Here, the Hamiltonian  $\mathcal{H}_{\text{XXZ}}$  is mapped to  $-\mathcal{H}_{\text{XXZ}}$ , and highest weight parameters  $\hat{a}_j$  are transformed to  $-\hat{a}_j$  for  $j = 1, 2$ .

**7.2.2. The regular XXZ Bethe state with one down-spin.** We now discuss the case of  $L = 8$ ,  $R = 1$ ,  $N = 3$  and  $q_0^3 = 1$ . Here  $q_0 = \exp(\pm 2\pi\sqrt{-1}/3)$ . Let us specify the Bethe root as  $\exp(2t_2) = (1 - \sqrt{-1}q)/(q_0 - \sqrt{-1})$ . Noting  $[3\ell + 1]_{q_0} = 1$ ,  $[3\ell + 2]_{q_0} = -1$  and  $[3\ell]_{q_0} = 0$  for integers  $\ell$ , we derive  $\tilde{\chi}_3^+ = -13(2 - \sqrt{3})$  and  $\tilde{\chi}_6^+ = 7 - 4\sqrt{3}$ . We thus have

$$\mathcal{P}^\lambda(u) = 1 - 13(2 - \sqrt{3})u + (7 - 4\sqrt{3})u^2, \quad (7.11)$$

where highest weight parameters  $\hat{a}_1$  and  $\hat{a}_2$  are given by

$$\hat{a}_1, \hat{a}_2 = \frac{1}{2}(13 \pm \sqrt{165})(2 - \sqrt{3}). \quad (7.12)$$

**7.2.3. The case of a reducible Weyl module: the inhomogeneous case with degenerate evaluation parameters.** We now discuss such a regular Bethe state that has degenerate

highest weight parameters. Let us consider the inhomogeneous case of  $L = 6, R = 0, N = 3$  and  $q_0 = \exp(2\pi i/3)$ . We set  $\xi_1 \neq 0$ , while  $\xi_2 = \xi_3 = \xi_4 = \xi_5 = \xi_6 = 0$ . We have  $\phi_\xi^+(x) = (1 - yx)(1 - x)^5$ , where  $y = \exp(2\xi_1)$ . Expanding  $\phi_\xi^+(x)$ , we have  $\chi_0^+ = 1, \chi_3^+ = -5!/(3!2!) - 5!/(2!3!)y = -(10 + 10y)$  and  $\chi_6^+ = y$ . We have  $\mathcal{P}^\lambda(u) = 1 - 10(1 + y)u + yu^2$ , where parameters  $\hat{a}_1$  and  $\hat{a}_2$  are given by

$$\hat{a}_1, \hat{a}_2 = 5(1 + y \pm \sqrt{(1 + y)^2 - y/5}). \tag{7.13}$$

We have  $\hat{a}_1 = \hat{a}_2$  if and only if  $y = y_c = (-49 \pm 3\sqrt{-11})/50$ .

When  $y \neq y_c$ ,  $\hat{a}_1$  and  $\hat{a}_2$  are distinct, and hence  $U|0\rangle$  is irreducible. We have  $\dim U|0\rangle = (1 + 1)^2 = 4$ , and  $\mathcal{P}^\lambda(u)$  gives the Drinfeld polynomial.

When  $y = y_c$ , however,  $\hat{a}_1$  and  $\hat{a}_2$  are degenerate:

$$\hat{a}_1 = \hat{a}_2 = a_1 = \frac{1}{10}(1 \pm 3\sqrt{-11}). \tag{7.14}$$

Recall that  $U|0\rangle$  is irreducible if and only if  $(x_1^- - a_1x_0^-)|0\rangle$  vanishes. We apply (2.10) with  $r = 2$ , where generators  $x_1^-$  and  $x_0^-$  are given by  $T_\xi^{-(3)} = V^-T^{-(3)}V^+$  and  $S_\xi^{-(3)} = V^+S^{-(3)}V^-$ , respectively. Here we recall (4.15). The  $(1, 1, 1, 2, 2, 2)$  elements of vectors  $T_\xi^{-(N)}|0\rangle$  and  $S_\xi^{-(N)}|0\rangle$  are given by  $q_0^{3/2}$  and  $q_0^{-3/2}$ , respectively, and hence we have  $T_\xi^{-(N)}|0\rangle \neq a_1S_\xi^{-(N)}|0\rangle$ . We thus conclude that  $U|0\rangle$  is reducible. We now derive  $\dim U|0\rangle = 4$ , and hence  $U|0\rangle$  gives a Weyl module. In fact, the basis is given by  $|0\rangle, x_0^-|0\rangle, (x_0^-)^2|0\rangle$  and  $w = (x_1^- - a_1x_0^-)|0\rangle$ . We also confirm that it is reducible, noting that  $x_k^+w = 0$  for  $k \in \mathbf{Z}$ .

### 8. Concluding remark

The highest weight conjecture has been shown in sectors A and B in the paper. However, operators commuting with the transfer matrix are constructed also in other sectors [14]. We thus have a conjecture that in any sector of  $S^Z$ , some version of regularized Bethe ansatz eigenvectors should be highest weight (see also [18]).

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### Appendix A. Derivation of lemma 6 for showing highest weight properties

For a given integer  $\ell$ , let  $U(\mathcal{B}_\ell)$  be such a subalgebra of  $U(L(sl_2))$  that is generated by  $h_k, x_{\ell+k}^+$  and  $x_{-\ell+1+k}^-$  for  $k \in \mathbf{Z}_{\geq 0}$ . We denote by  $\mathcal{B}_\ell^+$  such a subalgebra of  $U(\mathcal{B}_\ell)$  that is generated by  $x_{\ell+k}^+$  for  $k \in \mathbf{Z}_{\geq 0}$ . We express by  $(X)^{(n)}$  the  $n$ th power of  $X$  divided by the factorial of  $n$ , i.e.  $(X)^{(n)} = (X)_{q=1}^{(n)} = X^n/n!$ .

**Lemma A.1.** *Let  $\ell$  be an integer. For a given positive integer  $n$  we have*

$$\begin{aligned} (A_n) : (x_\ell^+)^{(n-1)}(x_{1-\ell}^-)^{(n)} &= \sum_{k=1}^n (-1)^{k-1} x_{k-\ell}^- (x_\ell^+)^{(n-k)} (x_{1-\ell}^-)^{(n-k)} \pmod{U(\mathcal{B}_\ell)\mathcal{B}_\ell^+}, \\ (B_n) : (x_\ell^+)^{(n)}(x_{1-\ell}^-)^{(n)} &= \frac{1}{n} \sum_{k=1}^n (-1)^{k-1} h_k (x_\ell^+)^{(n-k)} (x_{1-\ell}^-)^{(n-k)} \pmod{U(\mathcal{B}_\ell)\mathcal{B}_\ell^+}, \\ (C_n) : [h_j, (x_\ell^+)^{(m)}(x_{1-\ell}^-)^{(m)}] &= 0 \pmod{U(\mathcal{B}_\ell)\mathcal{B}_\ell^+} \quad \text{for } m \leq n \text{ and } j \in \mathbf{Z}. \end{aligned}$$

**Proof.** We first show the following relations by induction on  $n$ :

$$[h_1, (x_\ell^+)^{(n)}] = 2x_{\ell+1}^+ (x_\ell^+)^{(n-1)}, \quad [(x_\ell^+)^{(n)}, x_{1-\ell}^-] = (x_\ell^+)^{(n-1)}h_1 + x_{1+\ell}^+ (x_\ell^+)^{(n-2)}, \quad (A.1)$$

$$[h_1, (x_{1-\ell}^-)^{(n)}] = (-2)x_{2-\ell}^- (x_{1-\ell}^-)^{(n-1)}, \quad [x_\ell^+, (x_{1-\ell}^-)^{(n)}] = (x_{1-\ell}^-)^{(n-1)}h_1 - x_{2-\ell}^- (x_{1-\ell}^-)^{(n-2)}. \quad (A.2)$$

Through the recursive relations, we derive the following inductive formula for the product  $(x_\ell^+)^{(n-1)}(x_{1-\ell}^-)^{(n)}$  with respect to  $n$ :

$$\begin{aligned} (x_\ell^+)^{(n)}(x_{1-\ell}^-)^{(n+1)} &= x_{1-\ell}^- (x_\ell^+)^{(n)}(x_{1-\ell}^-)^{(n)} + \frac{1}{2}[h_1, (x_\ell^+)^{(n-1)}(x_{1-\ell}^-)^{(n)}] \\ &\quad - (x_\ell^+)^{(n-1)}(x_{1-\ell}^-)^{(n+1)}x_\ell^+, \quad \text{for } \ell \in \mathbf{Z}. \end{aligned} \quad (A.3)$$

We now show three relations  $(A_n)$ ,  $(B_n)$  and  $(C_n)$ , inductively on  $n$  as follows. We show  $(A_1)$ ,  $(A_2)$ ,  $(B_1)$  and  $(C_1)$ , directly. Relation  $(A_n)$  is derived from  $(A_{n-1})$  and  $(C_{n-2})$ . Here we make use of formula  $(A.3)$ . We derive relation  $(B_n)$  from  $(A_n)$ ,  $(C_{n-1})$  and  $(B_m)$  for  $m \leq n - 1$ , multiplying both hand sides of  $(A_n)$  by  $x_\ell^+$  from the left. We show  $x_\ell^+ (x_\ell^+)^{(m)}(x_{1-\ell}^-)^{(m)} \in U(\mathcal{B}_\ell)\mathcal{B}_\ell^+$  for  $m \leq n - 1$  by induction on  $m$ . Here we make use of  $(B_m)$  for  $m \leq n - 1$  and  $(C_{n-1})$ . Finally,  $(C_n)$  is derived from  $(B_{n-1})$  and  $(C_{n-1})$ . Thus, the cycle of induction process,  $(A_n)$ ,  $(B_n)$  and  $(C_n)$ , is closed.  $\square$

We remark that relation  $(A.3)$  for  $\ell = 0$  is given by the classical limit of formula (iv)<sub>r</sub> in [6, subsection 3.5]. It is also reviewed in [13, appendix A].

**Lemma A.2.** *Let  $\ell$  be an integer. If a vector  $\Psi$  in a finite-dimensional representation of  $U(\mathcal{B}_\ell)$  satisfies*

$$\begin{aligned} x_{\ell+k}^+ \Psi &= 0, & h_k \Psi &= d_k \Psi \quad \text{for } k \in \mathbf{Z}_{\geq 0}, \\ (x_{1-\ell}^-)^r \Psi &\neq 0, & (x_{1-\ell}^-)^{r+1} \Psi &= 0, \end{aligned} \quad (A.4)$$

then we have the following. (i) For a given non-negative integer  $n \leq r$  and a given set of integers  $k_j$  satisfying  $1 - \ell \leq k_1 \leq \dots \leq k_n$ , we have with some  $A_{k_1, \dots, k_n} \in \mathbf{C}$ :

$$(x_{1-\ell}^-)^{r-n} x_{k_1}^- \dots x_{k_n}^- \Psi = A_{k_1, \dots, k_n} (x_{1-\ell}^-)^r \Psi. \quad (A.5)$$

(ii) The subspace of weight  $-r$  of  $U(\mathcal{B}_\ell)\Psi$  is one dimensional. Here we call eigenvalues of  $h_0$  weights. (iii) Recall that eigenvalues  $\lambda_n$  are defined by (5.3) i.e. (A.6) for  $\ell = 0$ . Then, we have

$$(x_\ell^+)^{(n)}(x_{1-\ell}^-)^{(n)} \Psi = \lambda_n \Psi, \quad \text{for } n = 1, 2, \dots, r. \quad (A.6)$$

**Proof.** We show (i) by induction on  $n$ . The case of  $n = 0$  is trivial. Let us assume the cases of  $n - 1$  and  $n$ . We have

$$x_m^+ (x_{1-\ell}^-)^{r+1-n} x_{k_1}^- \dots x_{k_n}^- \Psi = A_{k_1, \dots, k_n} x_m^+ \cdot (x_{1-\ell}^-)^{r+1} \Psi = 0.$$

Calculating  $[x_m^+, (x_{1-\ell}^-)^{(r+1-n)} x_{k_1}^- \cdots x_{k_n}^-]$ , we derive (A.5) in the case of  $n + 1$ . We now show (ii). Applying the Poincare–Birkhoff–Witt theorem to  $U(\mathcal{B}_\ell)$ , we have that every vector  $v$  in the subspace of weight  $-r$  of  $U\Psi$  is expressed as a linear combination of monomial vectors  $x_{k_1}^- \cdots x_{k_r}^- \Psi$  for sets of integers  $k_j$  satisfying  $1 - \ell \leq k_1 \leq \cdots \leq k_r$  [13]. Thus, we obtain (ii) from (i). We show (iii) by induction on  $n$ . We derive the  $n = 1$  case by  $[x_\ell^+, x_{1-\ell}^-] = h_1$ . Let us assume (A.6) for the cases of  $1, 2, \dots, n - 1$ . We derive the case of  $n$  through (B<sub>n</sub>) of lemma A.1.  $\square$

Let  $\mathcal{U}_k$  be the  $sl_2$  subalgebra generated by  $x_{-k}^+, x_k^-$  and  $h_0$  for an integer  $k$ .

**Proof of lemma 6.** We show it in sequence as follows: (i)  $h_1|\Phi\rangle = d_1|\Phi\rangle$ , where  $d_1 \in \mathbf{C}$ ; (ii)  $x_k^+|\Phi\rangle = 0$  ( $k \in \mathbf{Z}_{>0}$ ); (iii)  $h_k|\Phi\rangle = d_k|\Phi\rangle$  ( $k \in \mathbf{Z}_{>0}$ ), where  $d_k \in \mathbf{C}$ ; (vi)  $h_{-1}|\Phi\rangle = d_{-1}|\Phi\rangle$ , where  $d_{-1} \in \mathbf{C}$ ; (v)  $x_{-k}^+|\Phi\rangle = 0$  ( $k \in \mathbf{Z}_{>0}$ ); (vi)  $h_{-k}|\Phi\rangle = d_{-k}|\Phi\rangle$  ( $k \in \mathbf{Z}_{>0}$ ), where  $d_{-k} \in \mathbf{C}$ . Let us first note that for  $k = 0$  and  $1$ ,  $\mathcal{U}_k|\Phi\rangle$  corresponds to the  $(r + 1)$ -dimensional irreducible representation of  $U(sl_2)$ . We therefore have  $(x_k^-)^r|\Phi\rangle \neq 0$  and  $(x_k^-)^{r+1}|\Phi\rangle = 0$  for  $k = 0, 1$ . We derive (i) from (5.1) and (5.3), noting  $h_1 = [x_0^+, x_1^-]$ . We show (ii) through induction on  $k$ :

$$\begin{aligned} x_{k+1}^+|\Phi\rangle &= \frac{1}{2}(h_1 x_k^+ - x_k^+ h_1)|\Phi\rangle \\ &= \frac{1}{2}(h_1 - d_1)x_k^+|\Phi\rangle, \quad \text{for } k \in \mathbf{Z}_{\geq 0}. \end{aligned} \tag{A.7}$$

We derive (iii) by induction on  $k$  using (B<sub>k</sub>) of lemma A.1 for  $\ell = 0$ :

$$h_k|\Phi\rangle = \sum_{j=1}^{k-1} (-1)^{k-j+1} \lambda_{k-j} h_j |\Phi\rangle + k(-1)^{k-1} \lambda_k |\Phi\rangle. \tag{A.8}$$

In order to derive (iv), we first show that  $\lambda_r \neq 0$  as follows. We note that vector  $|\Phi\rangle$  satisfies the necessary conditions of  $\Psi$  in lemma A.2, since we have shown (ii) and (iii) in the above. From (iii) of lemma A.2 for  $\ell = 1$ , we have

$$(x_1^+)^{(n)} (x_0^-)^{(n)} |\Phi\rangle = \lambda_n^+ |\Phi\rangle \quad \text{for } n = 1, 2, \dots, r. \tag{A.9}$$

From (ii) of lemma A.2, we have  $(x_1^-)^r |\Phi\rangle = A_1 (x_0^-)^r |\Phi\rangle$ . Here  $A_1 \neq 0$ , since  $(x_1^-)^r |\Phi\rangle \neq 0$  due to  $\mathcal{U}_1$ . We thus obtain that  $\lambda_r \neq 0$  [13]. We then consider (A<sub>r+1</sub>) of lemma A.1 for  $\ell = 1$ :

$$(x_1^+)^{(r)} (x_0^-)^{(r+1)} = \sum_{k=1}^{r+1} (-1)^{k-1} x_{k-1}^- (x_1^+)^{(r+1-k)} (x_0^-)^{(r+1-k)} \text{ mod } U(\mathcal{B}_1)\mathcal{B}_1^+. \tag{A.10}$$

Introducing  $\lambda_0 = 1$ , we have from (A.10),

$$\sum_{j=0}^r (-1)^j \lambda_{r-j} x_j^- |\Phi\rangle = 0. \tag{A.11}$$

Applying  $x_{-1}^+$  to (A.11), we have

$$\lambda_r h_{-1} |\Phi\rangle = \sum_{j=1}^r (-1)^{j-1} \lambda_{r-j} h_{j-1} |\Phi\rangle. \tag{A.12}$$

Thus, we obtain  $h_{-1}|\Phi\rangle = d_{-1}|\Phi\rangle$ , where  $d_{-1}$  is defined by

$$d_{-1} = \frac{1}{\lambda_r} \sum_{j=1}^r (-1)^{j-1} \lambda_{r-j} d_{j-1}. \tag{A.13}$$



We show (v) inductively with respect to  $k$ :

$$\begin{aligned} x_{-(k+1)}^+|\Phi\rangle &= \frac{1}{2}(h_{-1}x_{-k}^+ - x_{-k}^+h_{-1})|\Phi\rangle \\ &= \frac{1}{2}(h_{-1} - d_{-1})x_{-k}^+|\Phi\rangle \quad \text{for } k \in \mathbf{Z}_{\geq 0}. \end{aligned} \quad (\text{A.14})$$

Multiplying (A.11) with  $x_{-k}^+$ , we show (vi) through induction on  $k$  by the following:

$$h_{-k}|\Phi\rangle = \frac{1}{\lambda_r} \sum_{j=1}^r (-1)^{j-1} \lambda_{r-j} h_{j-k} |\Phi\rangle. \quad (\text{A.15})$$

□

## Appendix B. Some combinatorial formulas

**Lemma B.1** ( $q$ -binomial theorem). *For a positive integer  $n$ , we have*

$$\prod_{\ell=0}^{n-1} (1 - q^{\pm 2\ell} z) = \sum_{j=0}^n (-1)^j q^{\pm(n-1)j} z^j \begin{bmatrix} n \\ j \end{bmatrix}_q. \quad (\text{B.1})$$

Here  $q$  and  $z$  are arbitrary.

**Lemma B.2.** *Let  $w_j$  be arbitrary parameters for  $j = 1, 2, \dots, n$ . For an integer  $n > 0$ , we have the following:*

$$\sum_{P \in S(n)} \prod_{1 \leq j < k \leq n} f(w_{P_j} - w_{P_k}) = \sum_{P \in S(n)} \prod_{1 \leq j < k \leq n} f(w_{P_k} - w_{P_j}) = [n]_q!. \quad (\text{B.2})$$

**Proof.** We express the sum on the left-hand side of equation (B.2) as  $F(w_1, \dots, w_n)$ . Let us take an integer  $j$  satisfying  $1 \leq j \leq n$ . As a function of variable  $w_j$  the quantity  $F(w_1, \dots, w_n)$  is a meromorphic function with no poles, and it is bounded at infinity,  $w_j = \infty$ . Therefore it is given by a constant with respect to the variable  $w_j$ . Similarly, we show that the quantity  $F(w_1, \dots, w_n)$  is a constant with respect to all variables  $w_j$  for  $j = 1, 2, \dots, n$ . Let us evaluate the constant by substituting  $w_j$  with  $z_j$  of the complete  $n$ -string (4.17). The summand of the sum on the left-hand side of (B.2) vanishes except for such a permutation  $P$  that gives  $(P1, P2, \dots, Pn) = (n, n-1, \dots, 1)$ . Thus, we have the equality (B.2). □

**Lemma B.3.** *Let  $m$  and  $n$  be integers satisfying  $m \geq n \geq 0$ , and  $w_j$  for  $1 \leq j \leq m$  be arbitrary parameters. Then, we have*

$$\sum_{\substack{|S_n|=n \\ S_n \subseteq \Sigma_m}} \prod_{j \in \Sigma_m \setminus S_n} \prod_{k \in S_n} f(w_j - w_k) = \sum_{\substack{|S_n|=n \\ S_n \subseteq \Sigma_m}} \prod_{j \in \Sigma_m \setminus S_n} \prod_{k \in S_n} f(w_k - w_j) = \begin{bmatrix} m \\ n \end{bmatrix}_q. \quad (\text{B.3})$$

Here  $\Sigma_m = \{1, 2, \dots, m\}$ , and the sum is taken over all such subsets  $S_n$  of  $\Sigma_m$  that have  $n$  elements. Here  $\prod_{j \in A} \prod_{k \in B} f(w_j - w_k) = 1$  if  $A$  or  $B$  is empty.

**Proof.** We express the sum on the left-hand side of equation (B.3) as  $G(w_1, \dots, w_m)$ . Let us take an integer  $j$  satisfying  $1 \leq j \leq m$ . As a function of variable  $w_j$  the quantity  $G(w_1, \dots, w_m)$  is a meromorphic function with no poles, and it is bounded at infinity,  $w_j = \infty$ . Therefore it is a constant with respect to  $w_j$ . Similarly, we show that  $G(w_1, \dots, w_m)$  is a constant with respect to all variables  $w_j$  for  $j = 1, 2, \dots, m$ . Let us evaluate the constant by substituting  $w_j$  with  $z_j$  of the complete  $m$ -string (4.17). The product  $\prod_{j \in \Sigma_m \setminus S_n} \prod_{k \in S_n} f(w_j - w_k)$  vanishes except for the case of  $S_n = \{1, 2, \dots, n\}$ . We thus have the equality (B.3). □

**Appendix C. Proof of lemma 14 by induction on  $n$**

The case of  $n = 1$  is trivial. Suppose that the case of  $n - 1$  holds. We denote by  $\mathcal{C}_n$  the cyclic group generated by a cyclic permutation  $(1, 2, \dots, n)$ , and by  $\mathcal{T}_{n-1}$  the symmetric group on the set  $\{2, 3, \dots, n\}$ . Let us remark that any element  $P$  of  $\mathcal{S}(n)$  is given by a product of an element  $\sigma$  of  $\mathcal{C}_n$  and an element  $\tau$  of  $\mathcal{T}_{n-1}$ . Putting  $P = \sigma\tau$  in expression (6.5), we have  $\Delta_{S_n; \Sigma_M}^\pm$  as

$$\sum_{\sigma \in \mathcal{C}_n} \sum_{\tau \in \mathcal{T}_{n-1}} \prod_{\ell=1}^n \left( \alpha_\xi^{\pm; \Sigma_M \setminus S_n}(w_{j_{\sigma\tau\ell}}) \prod_{k=\ell+1}^n q^{\pm 1} f(w_{j_{\sigma\tau\ell}} - w_{j_{\sigma\tau k}}) - \bar{\alpha}_\xi^{\pm; \Sigma_M \setminus S_n}(w_{j_{\sigma\tau\ell}}) \prod_{k=\ell+1}^n q^{\mp 1} f(w_{j_{\sigma\tau k}} - w_{j_{\sigma\tau\ell}}) \right). \tag{C.1}$$

Here we note that  $\sigma\tau 1 = \sigma 1$ . For the case of  $\ell = 1$ , we have

$$\prod_{k=2}^n f(w_{j_{\sigma\tau 1}} - w_{j_{\sigma\tau k}}) = \prod_{k=2}^n f(w_{j_{\sigma 1}} - w_{j_{\sigma\tau k}}) = \prod_{k=2}^n f(w_{j_{\sigma 1}} - w_{j_{\sigma k}}), \tag{C.2}$$

and we have  $\Delta_{S_n; \Sigma_M}^\pm$  as follows:

$$\sum_{\sigma \in \mathcal{C}_n} \left\{ \left( \alpha_\xi^{\pm; \Sigma_M \setminus S_n}(w_{j_{\sigma 1}}) \prod_{k=2}^n q^{\pm 1} f(w_{j_{\sigma 1}} - w_{j_{\sigma k}}) - \bar{\alpha}_\xi^{\pm; \Sigma_M \setminus S_n}(w_{j_{\sigma 1}}) \prod_{k=2}^n q^{\mp 1} f(w_{j_{\sigma k}} - w_{j_{\sigma 1}}) \right) \times \sum_{\tau \in \mathcal{T}_{n-1}} \prod_{\ell=2}^n \left( \alpha_\xi^{\pm; \Sigma_M \setminus S_n}(w_{j_{\sigma\tau\ell}}) \prod_{k=\ell+1}^n q^{\pm 1} f(w_{j_{\sigma\tau\ell}} - w_{j_{\sigma\tau k}}) - \bar{\alpha}_\xi^{\pm; \Sigma_M \setminus S_n}(w_{j_{\sigma\tau\ell}}) \prod_{k=\ell+1}^n q^{\mp 1} f(w_{j_{\sigma\tau k}} - w_{j_{\sigma\tau\ell}}) \right) \right\}. \tag{C.3}$$

Here we note that  $\mathcal{T}_{n-1}$  is equivalent to  $\mathcal{S}(n-1)$ . Let us define  $j_\sigma$  by  $(j_\sigma)_k = j_{\sigma k}$  ( $k = 1, \dots, n$ ). Applying the induction assumption to the case where  $S_{n-1}$  is given by  $\{j_{\sigma k} | k = 2, \dots, n\}$ , the last line of (C.3) is given by

$$\begin{aligned} & \sum_{\tau \in \mathcal{T}_{n-1}} \prod_{\ell=2}^n \left( \alpha_\xi^{\pm; \Sigma_M \setminus S_n}(w_{(j_\sigma)_{\tau\ell}}) \prod_{k=\ell+1}^n q^{\pm 1} f(w_{(j_\sigma)_{\tau\ell}} - w_{(j_\sigma)_{\tau k}}) - \bar{\alpha}_\xi^{\pm; \Sigma_M \setminus S_n}(w_{(j_\sigma)_{\tau\ell}}) \prod_{k=\ell+1}^n q^{\mp 1} f(w_{(j_\sigma)_{\tau k}} - w_{(j_\sigma)_{\tau\ell}}) \right) \\ &= \sum_{\tau \in \mathcal{T}_{n-1}} \sum_{k=0}^{n-1} (-1)^k \begin{bmatrix} n-1 \\ k \end{bmatrix}_q q^{\pm(n-1)(n-2)/2} q^{\mp(n-2)k} \\ & \times \prod_{2 \leq \ell \leq n-k} \alpha_\xi^{\pm; \Sigma_M \setminus S_n}(w_{(j_\sigma)_{\tau\ell}}) \prod_{n-k < \ell \leq n} \bar{\alpha}_\xi^{\pm; \Sigma_M \setminus S_n}(w_{(j_\sigma)_{\tau\ell}}) \\ & \times \prod_{2 \leq \ell < m \leq n} f(w_{(j_\sigma)_{\tau\ell}} - w_{(j_\sigma)_{\tau m}}). \end{aligned} \tag{C.4}$$

Substituting it to (C.3), rewriting  $\sigma\tau$  as  $P \in \mathcal{S}(n)$ , and using the recursive relations of the  $q$ -binomial coefficients, we obtain formula (6.9) for the case of  $n$ .

**Appendix D. Proof of lemma 15**

We call a sequence of integers given by  $n_k = n_1 + k - 1$  for  $k = 1, \dots, \ell$  with positive integers  $n_1$  and  $\ell$ , a slope 1 increasing sequence of integers. Let us assume that  $Z_{N_c} \setminus W$  is given by the union of sets of slope 1 increasing sequences of integers,  $X_j: Z_{N_c} \setminus W = X_1 \cup X_2 \cup \dots \cup X_m$ . We have  $Z_{N_c} = Y_0 \cup X_1 \cup Y_1 \dots \cup X_m \cup Y_m$ , where  $Y_j$  are slope 1 increasing sequences of integers, and  $W = Y_0 \cup Y_1 \cup \dots \cup Y_m$ . Recall the notation  $\underline{s} = s + R$ . We now show that if  $Y_1$  is given by  $Y_1 = \{\underline{s}, \underline{s} + 1, \dots, \underline{t}\}$  with  $s \leq t$ , we have

$$\Delta(\xi)_{j_1, \dots, j_\rho}^{\pm; \dots, s-1, t+1, \dots} = 0. \tag{D.1}$$

Let us first consider the case of  $s < t$ . We express elements of  $S_{N_c}$  as  $i_1, i_2, \dots, i_{N_c}$ . Recall that each permutation  $P$  gives sequence  $(i_{P_1}, i_{P_2}, \dots, i_{P_{N_c}})$ . From the fact

$$f(z_s - z_{s+1}) = \dots = f(z_{t-1} - z_t) = 0, \tag{D.2}$$

it follows that product  $\prod_{1 \leq \ell < m \leq N_c} f(w_{i_{P_\ell}} - w_{i_{P_m}})$  in formula (6.9) vanishes unless integers  $\underline{s}, \underline{s} + 1, \dots, \underline{t}$  appear in reverse order in sequence  $(i_{P_1}, i_{P_2}, \dots, i_{P_{N_c}})$ : if  $\underline{a} = i_{P_{j(a)}}$  and  $\underline{a} + 1 = i_{P_{j(a+1)}}$  then  $j(a + 1) < j(a)$  for  $s \leq a \leq t$ , i.e.  $\underline{s}$  comes later than  $\underline{s} + 1$  in  $(i_{P_1}, i_{P_2}, \dots, i_{P_{N_c}})$ , and so on. We next consider product  $\prod_{1 \leq \ell \leq N_c - k} \alpha_\xi^{\pm; \Sigma_{R+N_c} \setminus S_{N_c}}(w_{i_{P_\ell}})$ . If subsequence  $(i_{P_1}, i_{P_2}, \dots, i_{P_{(N_c-k)}})$  contains integer  $\underline{t}$ , then the product vanishes. Similarly, if subsequence  $(i_{P_{(N_c-k+1)}}, \dots, i_{P_{(N_c-1)}}, i_{P_{N_c}})$  contains integer  $\underline{s}$ , then product  $\prod_{N_c-k < \ell \leq N_c} \bar{\alpha}_\xi^{\pm; \Sigma_{R+N_c} \setminus S_{N_c}}(w_{i_{P_\ell}})$  vanishes. In order to make the products nonzero, integer  $\underline{s}$  should be contained in subsequence  $(i_{P_1}, i_{P_2}, \dots, i_{P_{(N_c-k)}})$  and integer  $\underline{t}$  in  $(i_{P_{(N_c-k+1)}}, \dots, i_{P_{(N_c-1)}}, i_{P_{N_c}})$ . However, it is not compatible with the constraint that integers  $\underline{s}, \underline{s} + 1, \dots, \underline{t}$  should appear in reverse order in sequence  $(i_{P_1}, i_{P_2}, \dots, i_{P_{N_c}})$ . Thus, the summand of the sum  $\Delta(\xi)_{S_{N_c}; \Sigma_{R+N_c}}^{\pm}$  in (6.9) vanishes for any permutation  $P$ , and hence the sum  $\Delta_{S_{N_c}; \Sigma_{R+N_c}}^{\pm}$  vanishes. In the case of  $s = t$ , we show that  $\prod_{1 \leq \ell \leq N_c - k} \alpha_\xi^{\pm; \Sigma_{R+N_c} \setminus S_{N_c}}(w_{i_{P_\ell}}) = 0$  or  $\prod_{N_c-k < \ell \leq N_c} \bar{\alpha}_\xi^{\pm; \Sigma_{R+N_c} \setminus S_{N_c}}(w_{i_{P_\ell}}) = 0$  for any  $k$  and  $P$ .

For an illustration, we show  $\Delta(\xi)_{j_1, j_2, j_3}^{\pm; 1, 2, 5} = 0$  for  $N_c = 5$ . Since  $f(z_3 - z_4) = 0$ , product  $\prod_{1 \leq \ell < m \leq 5} f(w_{i_{P_\ell}} - w_{i_{P_m}})$  vanishes unless  $\underline{4}$  comes earlier than  $\underline{3}$  in  $(i_{P_1}, i_{P_2}, i_{P_3}, i_{P_4}, i_{P_5})$ . When  $Z_{N_c} \setminus W = \{\underline{1}, \underline{2}, \underline{5}\}$ , we have  $\bar{\alpha}_\xi^{\pm; \Sigma_{R+N_c} \setminus S_{N_c}}(z_3) = 0$  and  $\alpha_\xi^{\pm; \Sigma_{R+N_c} \setminus S_{N_c}}(z_4) = 0$ . If  $\underline{4} \in \{i_{P_1}, i_{P_2}, \dots, i_{P_{(N_c-k)}}\}$ , product  $\prod_{1 \leq \ell \leq N_c - k} \alpha_\xi^{\pm; \Sigma_{R+N_c} \setminus S_{N_c}}(w_{i_{P_\ell}})$  vanishes. If  $\underline{3} \in \{i_{P_{(N_c-k+1)}}, \dots, i_{P_{N_c}}\}$ , then product  $\prod_{N_c-k < \ell \leq N_c} \bar{\alpha}_\xi^{\pm; \Sigma_{R+N_c} \setminus S_{N_c}}(w_{i_{P_\ell}})$  vanishes. However, it is not compatible with the constraint that  $\underline{4}$  comes earlier than  $\underline{3}$  in sequence  $(i_{P_1}, i_{P_2}, \dots, i_{P_5})$ . Therefore, we have  $\Delta(\xi)_{j_1, j_2, j_3}^{\pm; 1, 2, 5} = 0$ .

**Appendix E. Derivation of equation (6.32)**

Generalizing  $G_\ell^{+; J_I, J_K}$  given by equation (6.28), we define for  $\ell \leq r$  the following:

$$C_\ell^{J_I, J_K}(m) = \sum_{\ell_I=0}^{\ell} q^{m(\ell_K - \ell_I)} \sum_{L_I \subset J_I}^{|L_I|=\ell_I} \exp\left(\sum_{j \in L_I} 2t_j\right) \sum_{L_K \subset J_K}^{|L_K|=\ell_K} \exp\left(\sum_{j \in L_K} 2t_j\right), \tag{E.1}$$

where  $\ell_K = \ell - \ell_I$ . When  $m = \rho - 1$ , the generalized coefficient gives the original one:  $C_\ell^{J_I, J_K}(\rho - 1) = G_\ell^{+; J_I, J_K}$ . In terms of  $C_\ell^{J_I, J_K}(m)$ , we introduce

$$J(\rho, \sigma; \ell)_m = \sum_{J_I \cup J_K = J}^{|J_K|=\rho-\sigma, |J_I|=\sigma} \left( \prod_{j \in J_I} \prod_{k \in J_K} f(w_k - w_j) \right) C_\ell^{J_I, J_K}(m), \tag{E.2}$$

Here,  $w_j$  ( $1 \leq j \leq r$ ) are arbitrary parameters, and the sum is taken over all pairs of disjoint subsets  $J_I$  and  $J_K$  of  $J$  with  $J_I \cup J_K = J$ . We note that  $J(\rho, \sigma; \ell)_m$  vanishes when  $\ell > \rho$  or  $\sigma > \rho$  by definition. Let us denote by  $\Sigma(J)_{\ell,m}^\rho$  the following sum over  $\sigma$ :

$$\Sigma(J)_{\ell,m}^\rho = \sum_{\sigma=0}^{\rho} (-1)^\sigma q^{-(\rho-1)\sigma} x^\sigma J(\rho, \sigma; \ell)_m. \tag{E.3}$$

The main result of appendix E is given as follows:

$$\Sigma(J)_{\ell,m}^\rho = q^{-\ell m} \prod_{i=1}^{\ell} (1 - xq^{2m-2(\rho-i)}) \prod_{j=0}^{\rho-\ell-1} (1 - xq^{-2j}) \sum_{L \subset J}^{|L|=\ell} \exp\left(\sum_{j \in L} 2t_j\right). \tag{E.4}$$

Let us derive (E.4). We first show that  $J(\rho, \sigma; \ell)_m$  is expressed as follows:

$$J(\rho, \sigma; \ell)_m = \sum_{t=0}^{\ell} \begin{bmatrix} \rho - \ell \\ \sigma - t \end{bmatrix}_q \begin{bmatrix} \ell \\ t \end{bmatrix}_q q^{\ell(\sigma-m)+(2m-\rho)t} \sum_{L \subset J}^{|L|=\ell} \exp\left(\sum_{j \in L} 2t_j\right). \tag{E.5}$$

Expression (E.5) is derived through induction on  $\ell$ . The case of  $\ell = 0$  is given by formula (B.3). For the case of  $\ell > 0$ , we note that we have from (E.1)

$$\lim_{t_{j\rho} \rightarrow \infty} J(\rho, \sigma; \ell)_m / \exp(2t_{j\rho}) = J(\rho - 1, \sigma; \ell - 1)_m q^{\sigma-m} + J(\rho - 1, \sigma - 1; \ell - 1)_m q^{-\rho+\sigma+m}, \tag{E.6}$$

and also that  $J(\rho, \sigma; \ell)_m$  is symmetric with respect to  $\exp 2t_{j_1}, \exp 2t_{j_2}, \dots, \exp 2t_{j_\rho}$ , by definition. Making use of (E.6) and the symmetric property, we have (E.5). We now evaluate coefficient  $Z(\rho, \sigma; \ell)_m$  defined in the following:

$$J(\rho, \sigma; \ell)_m = Z(\rho, \sigma; \ell)_m \sum_{L \subset J}^{|L|=\ell} \exp\left(\sum_{j \in L} 2t_j\right). \tag{E.7}$$

From the recursion relation (E.6), we have

$$Z(\rho, \sigma; \ell)_m = Z(\rho - 1, \sigma; \ell - 1)_m q^{\sigma-m} + Z(\rho - 1, \sigma - 1; \ell - 1)_m q^{-\rho+\sigma+m}. \tag{E.8}$$

Let us define  $\Sigma(Z)_{\ell,m}^\rho$  by

$$\Sigma(Z)_{\ell,m}^\rho = \sum_{\sigma=0}^{\rho} (-1)^\sigma q^{-(\rho-1)\sigma} x^\sigma Z(\rho, \sigma; \ell)_m. \tag{E.9}$$

We reformulate the sum over  $\sigma$  as follows:

$$\Sigma(J)_{\ell,m}^\rho = \Sigma(Z)_{\ell,m}^\rho \sum_{L \subset J}^{|L|=\ell} \exp\left(\sum_{j \in L} 2t_j\right). \tag{E.10}$$

Here we recall that  $\ell \leq r$ . Through induction on  $\ell \geq 0$  and  $\rho - \ell \geq 0$  using the recursion relation (E.8), we have

$$\Sigma(Z)_{\ell,m}^\rho = q^{-\ell m} \prod_{i=1}^{\ell} (1 - xq^{2m-2(\rho-i)}) \prod_{j=0}^{\rho-\ell-1} (1 - xq^{-2j}). \tag{E.11}$$

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